

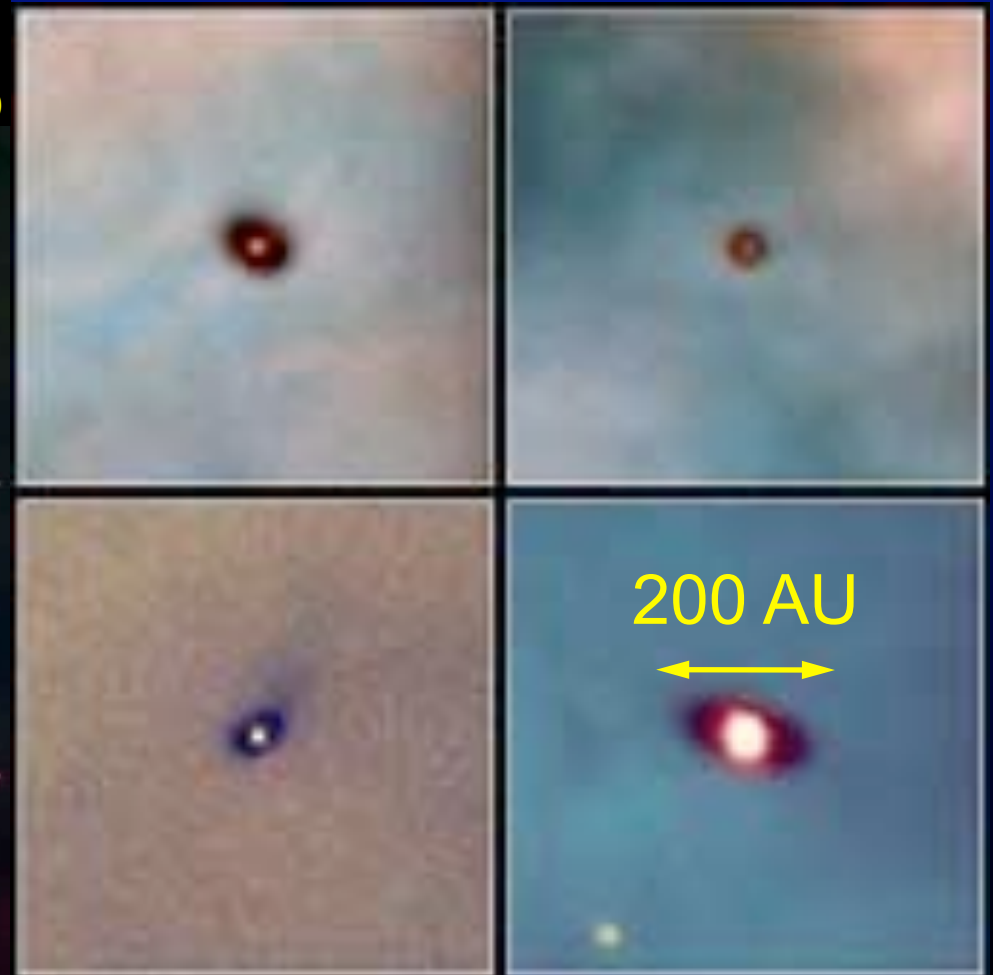
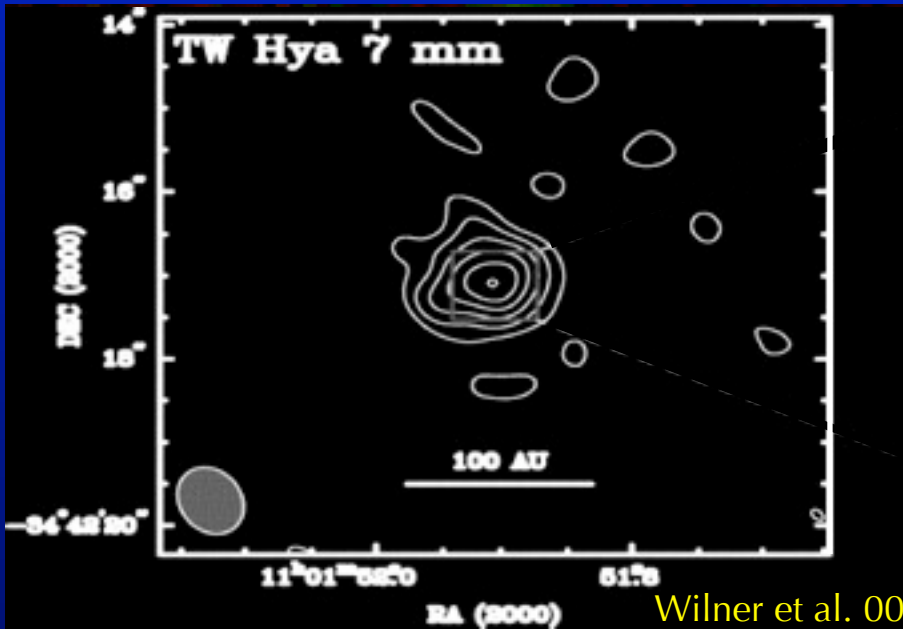
# Planetesimal Formation

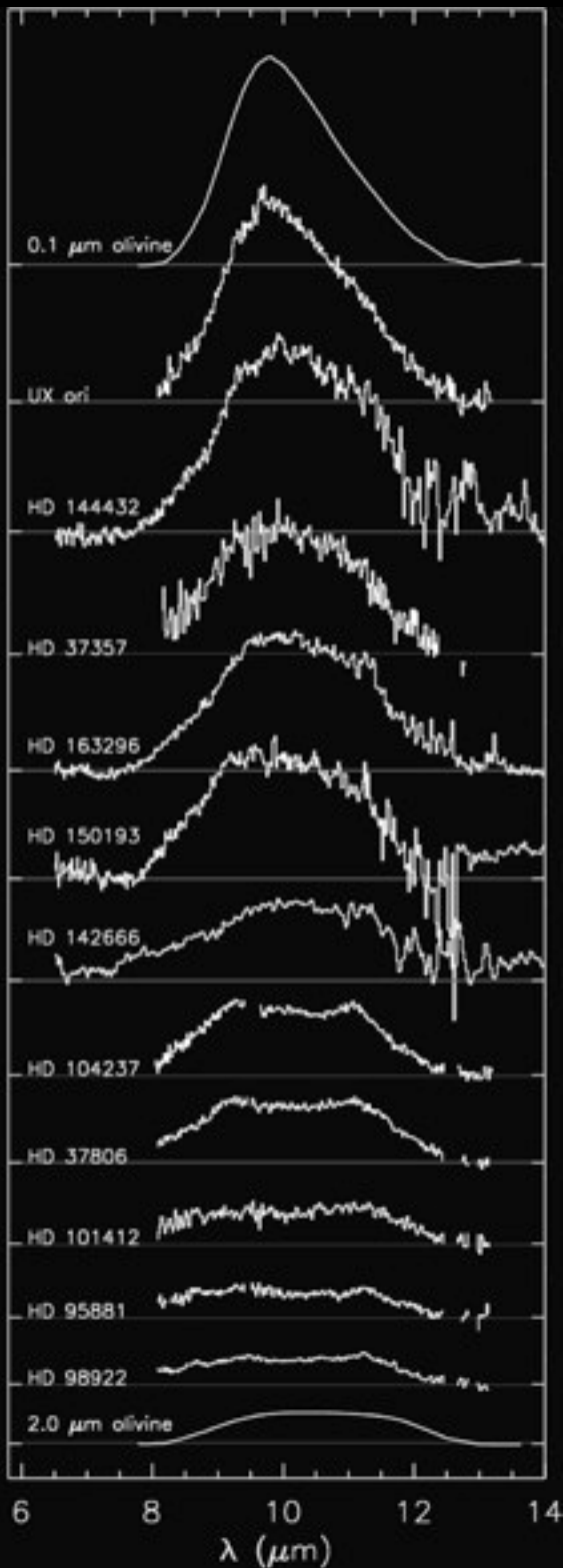
A 3D rendering of a protoplanetary disk. At the center, a bright yellow star is visible, surrounded by two smaller, reddish-brown protoplanets. The disk itself is composed of concentric rings of dust and gas, with a color gradient from dark brown on the outer edges to bright yellow and orange near the center. The background is a dark, starry space.

EC  
Aaron Lee (Berkeley)  
Joe Barranco (SFSU)  
Xylar Asay-Davis (LANL)

# Protoplanetary Disks

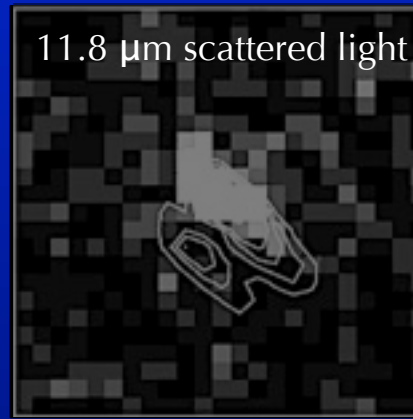
disk mass  $\sim 0.001$ - $0.1$  stellar mass





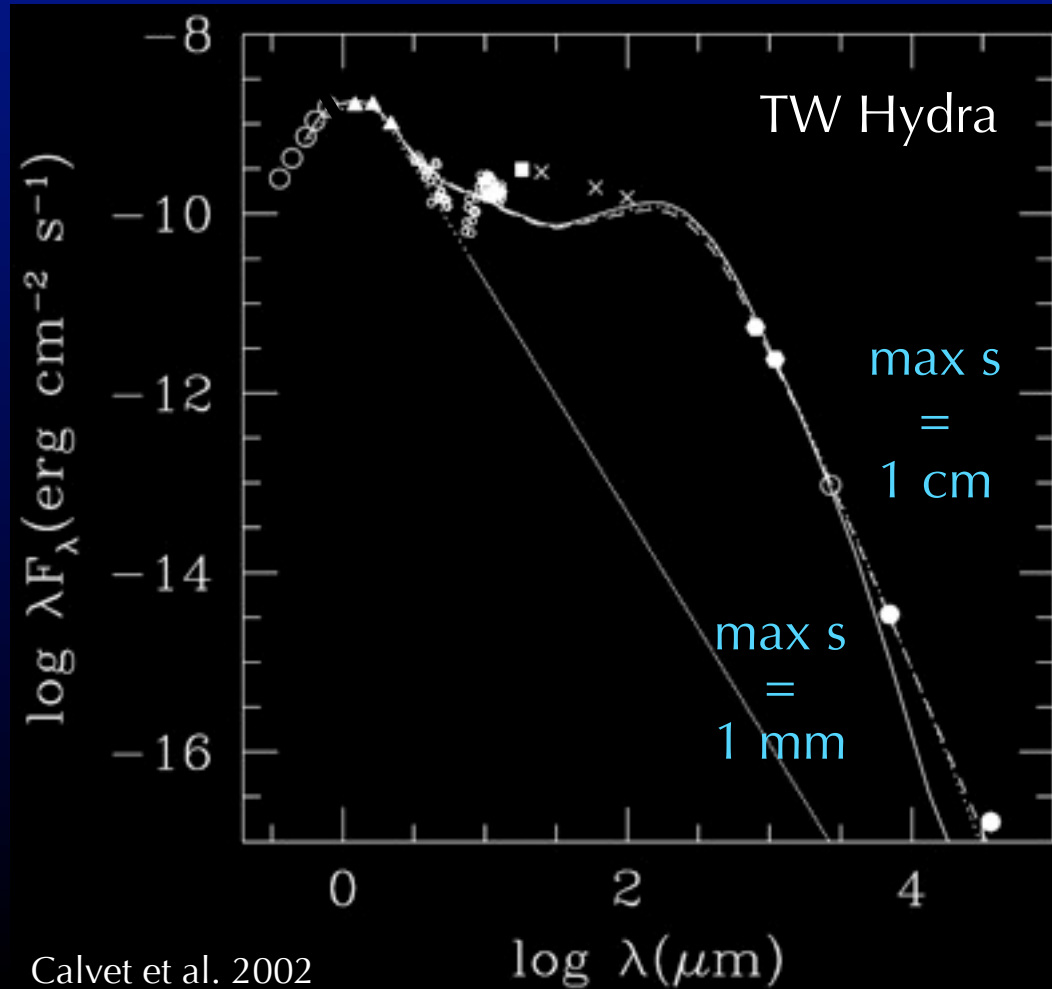
Disk surfaces  
at  $\sim 10$  AU:  
Growth to a few  
microns

11.8  $\mu\text{m}$  scattered light

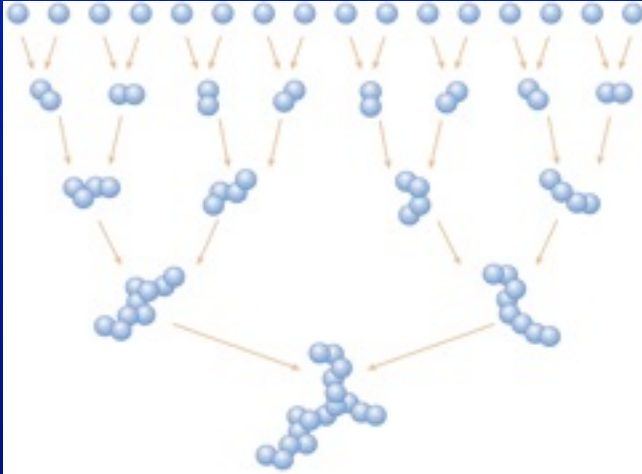


McCabe,  
Duchene, &  
Ghez 03

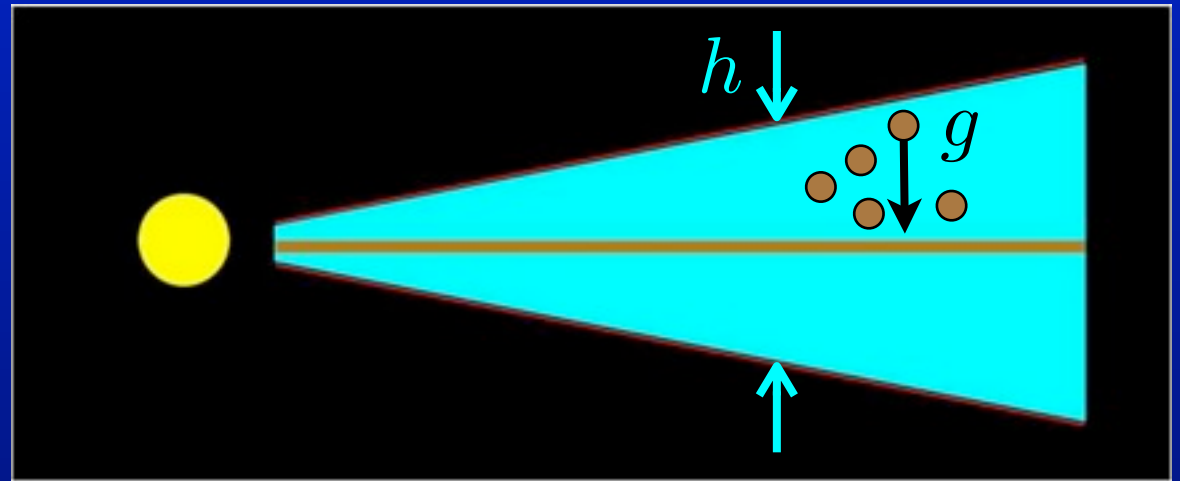
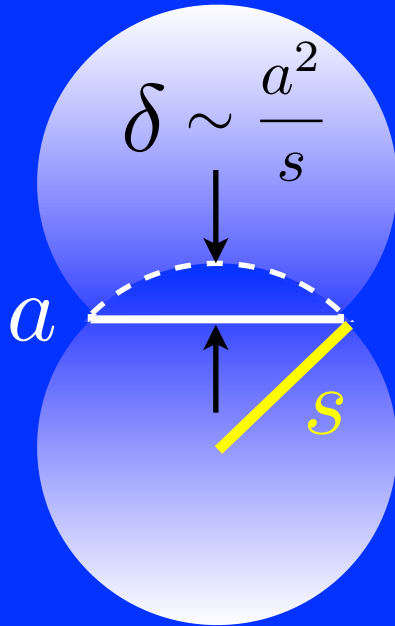
Disk interior at  $\sim 100$  AU:  
Growth to a few cm



# Grain growth



Blum J, Wurm G. 2008.  
Annu. Rev. Astron. Astrophys. 46:21–56



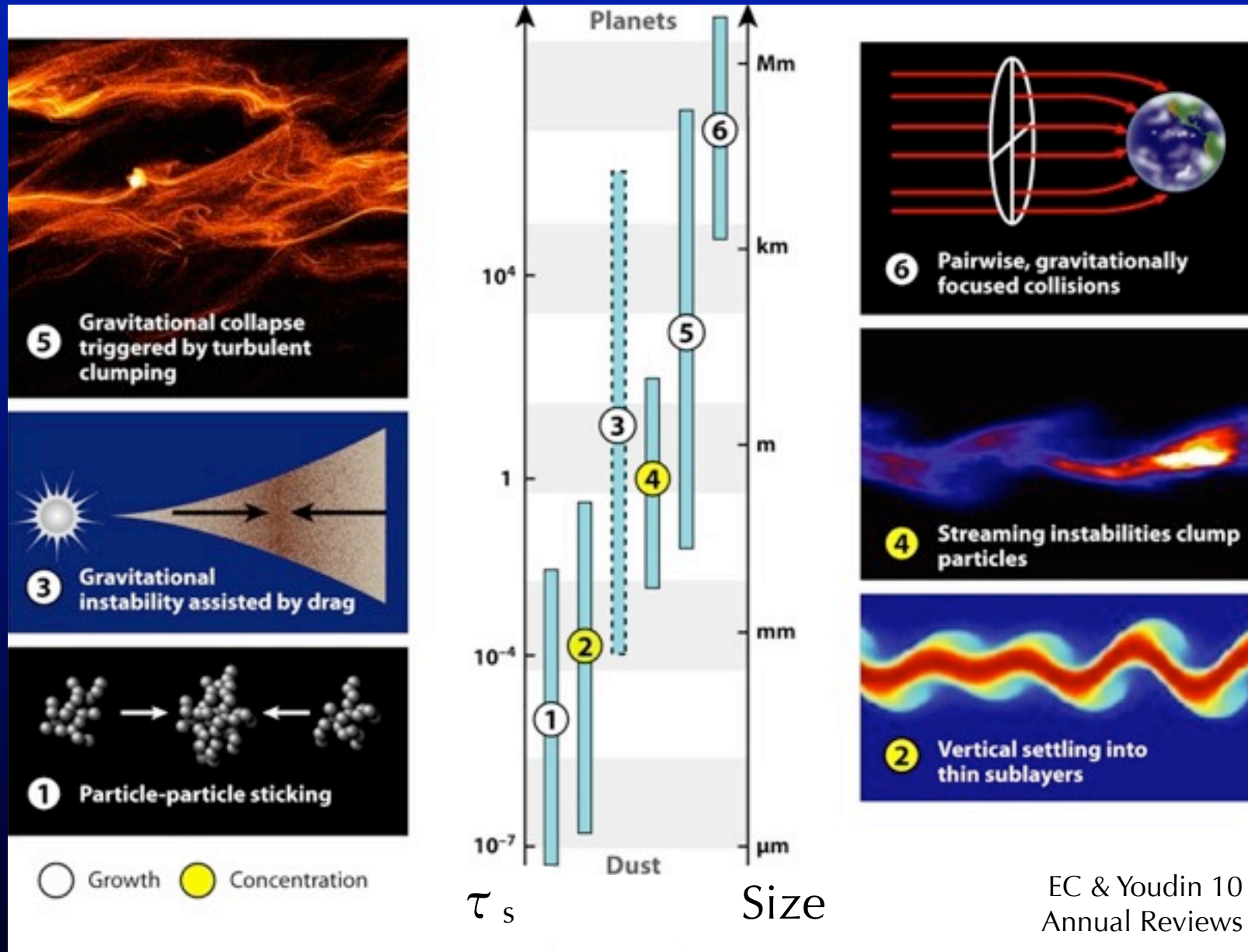
Sticking  $v_{\text{stick}} \sim 1 \text{ m/s}$  for  $s \sim 1 \mu\text{m}$

Hertz + surface tension  $\longrightarrow v_{\text{stick}} \sim 4 \frac{\gamma^{5/6}}{E^{1/3} \rho^{1/2} s^{5/6}}$

Terminal  $v_{\text{term}} \sim \frac{\rho}{\rho_g} \Omega s$   
 $\sim 1 \text{ m/s}$  for  $s \sim 10 \text{ cm}$

Sticking up to, but not beyond,  
cm sizes

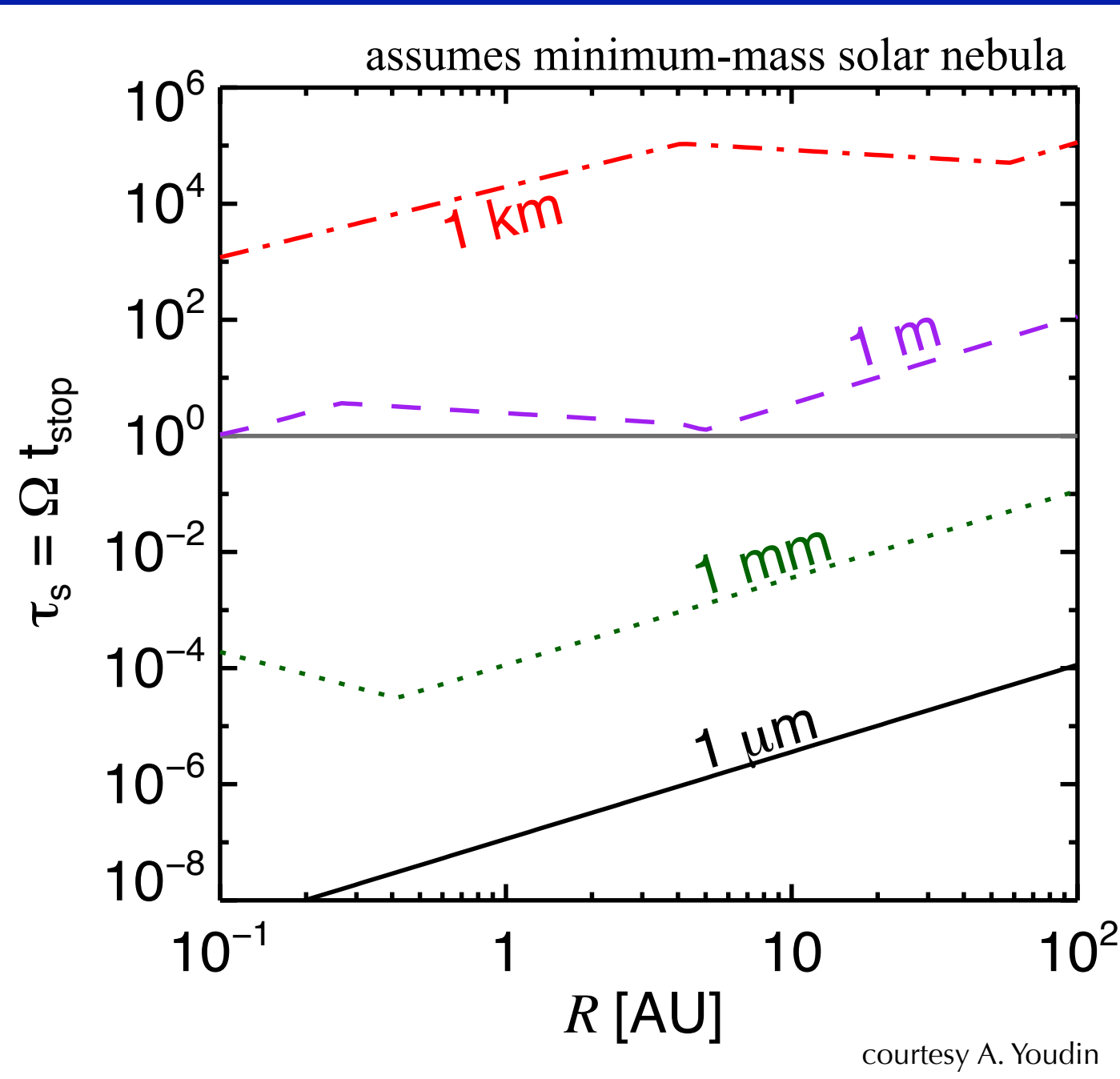
# Climbing the size ladder



Grain stopping time  $t_{\text{stop}} \equiv m v_{\text{rel}} / F_{\text{drag}}$

Dimensionless stopping time  $\tau_s \equiv \Omega_{\text{Kepler}} t_{\text{stop}}$

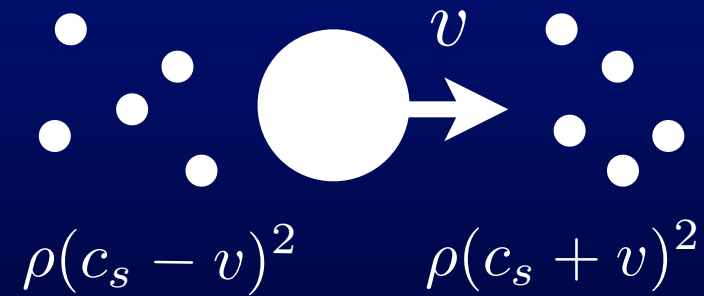
# Gas-particle entrainment



e.g., Epstein drag

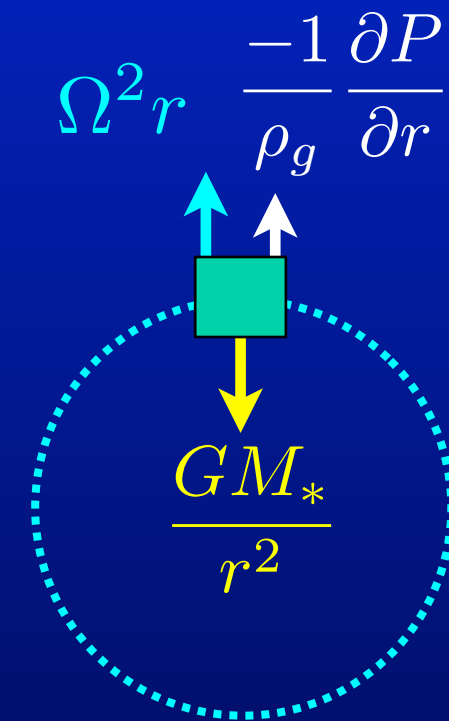
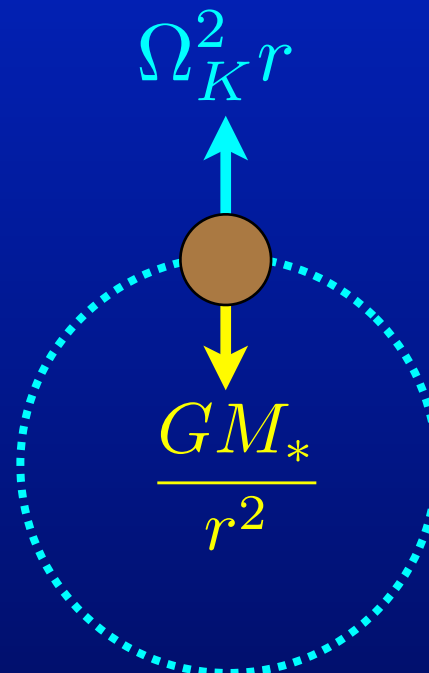
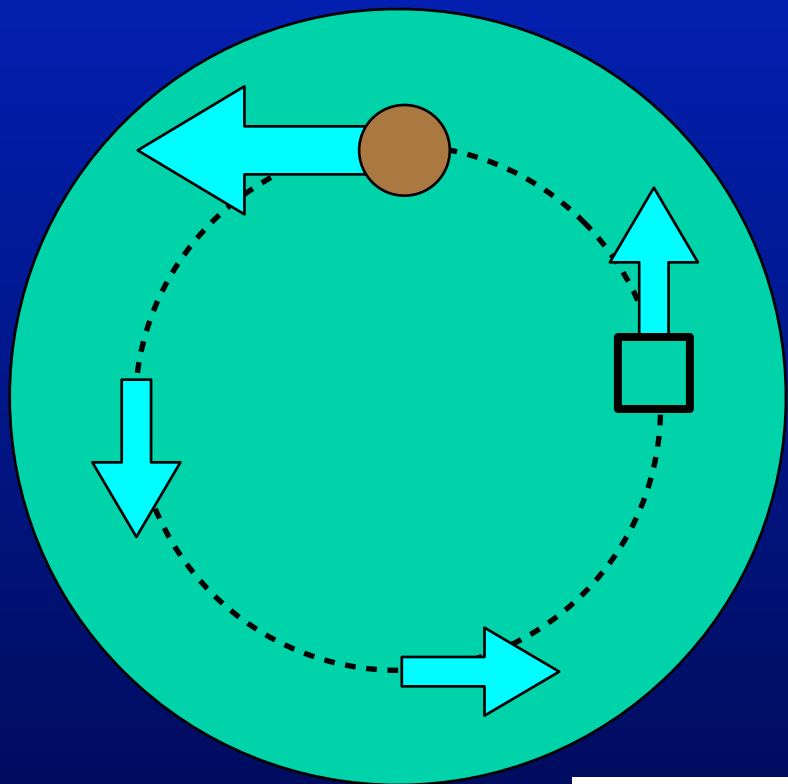
$$\lambda_{\text{mfp}} > s$$

$$v < c_s$$



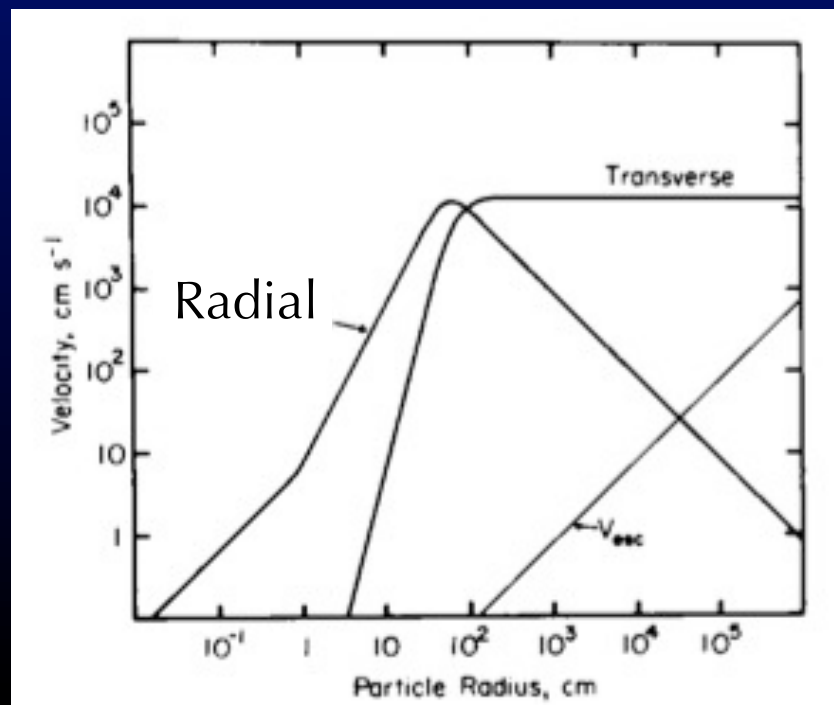
$$\Rightarrow F_{\text{drag}} \sim \rho c_s v \times \pi s^2$$

# Radial drift from headwind



$\therefore \Omega < \Omega_K$

Meter-sized boulders drift inward from 1 AU within 100 yr



# Gravitational instability

Disk annulus can fragment if

Toomre  $Q \sim 1$

$$\langle \rho \rangle > \rho_{\text{Toomre}} \sim \frac{M_*}{2\pi r^3}$$

$$> 10^{-7} \text{ g cm}^{-3}$$

Clump can resist tidal shear if

Roche unstable

$$\rho > \rho_{\text{Roche}} \sim \frac{3.5M_*}{r^3}$$

$$> 2 \times 10^{-6} \text{ g cm}^{-3}$$

if  $\Sigma_g \sim 2000 \text{ g cm}^{-2}$  (minimum – mass solar nebula)

if  $\Sigma_d/\Sigma_g \sim 10^{-2}$  (height – integrated solar metallicity)

$$\text{then } \rho \sim \frac{\Sigma_g}{h_g} + \frac{\Sigma_d}{h_d}$$

$$\sim 3 \times 10^{-9} \text{ g cm}^{-3} \quad \text{if } h_d \sim h_g$$

Toomre unstable

$$\rho_d/\rho_g \sim 30$$

Roche unstable

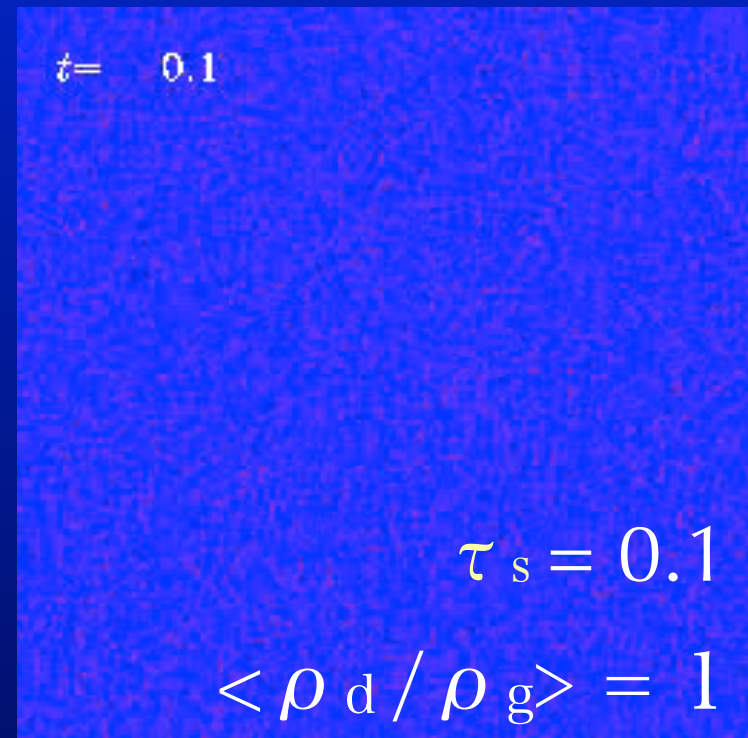
$$\rho_d/\rho_g \sim 600$$



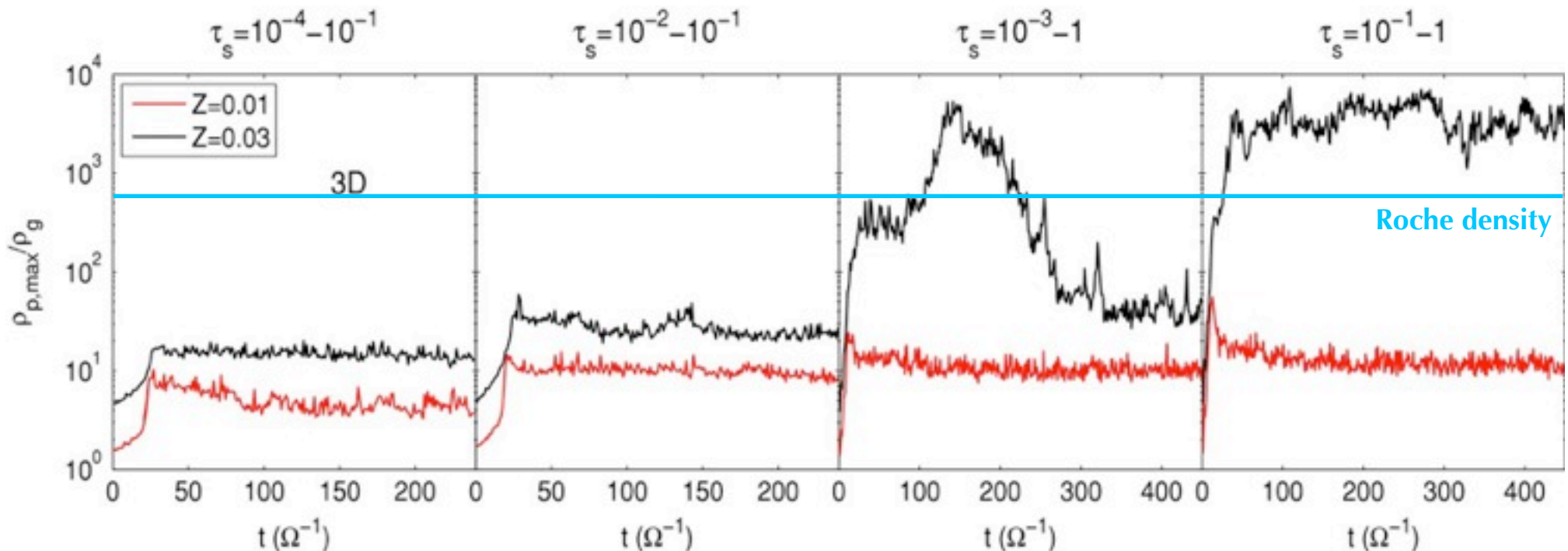
“Streaming” instability  
 = linear instability between  
 two fluids interacting  
 frictionally in a disk

growth rates peak  
 for  $\tau_s \sim 1$

(marginally coupled bodies)



Youdin & Goodman 05; Johansen & Youdin 07



Bai & Stone 2011

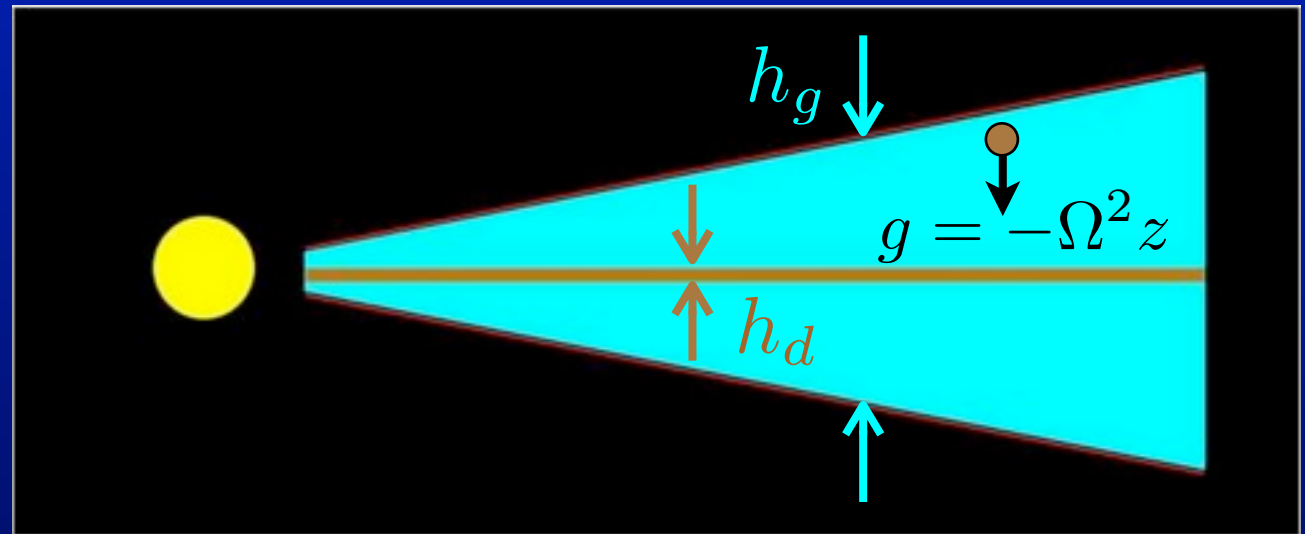
# Gravitational instability in the $\tau_s \ll 1$ (small particle) limit

Self-gravity important when

$$\rho > \rho_{\text{Toomre}} \sim \frac{M_*}{2\pi r^3}$$

$$> 10^{-7} \text{ g cm}^{-3}$$

at  $r = 1 \text{ AU}$



if  $\Sigma_g \sim 2000 \text{ g cm}^{-2}$  (minimum – mass solar nebula)

if  $\Sigma_d/\Sigma_g \sim 10^{-2}$  (height – integrated solar metallicity)

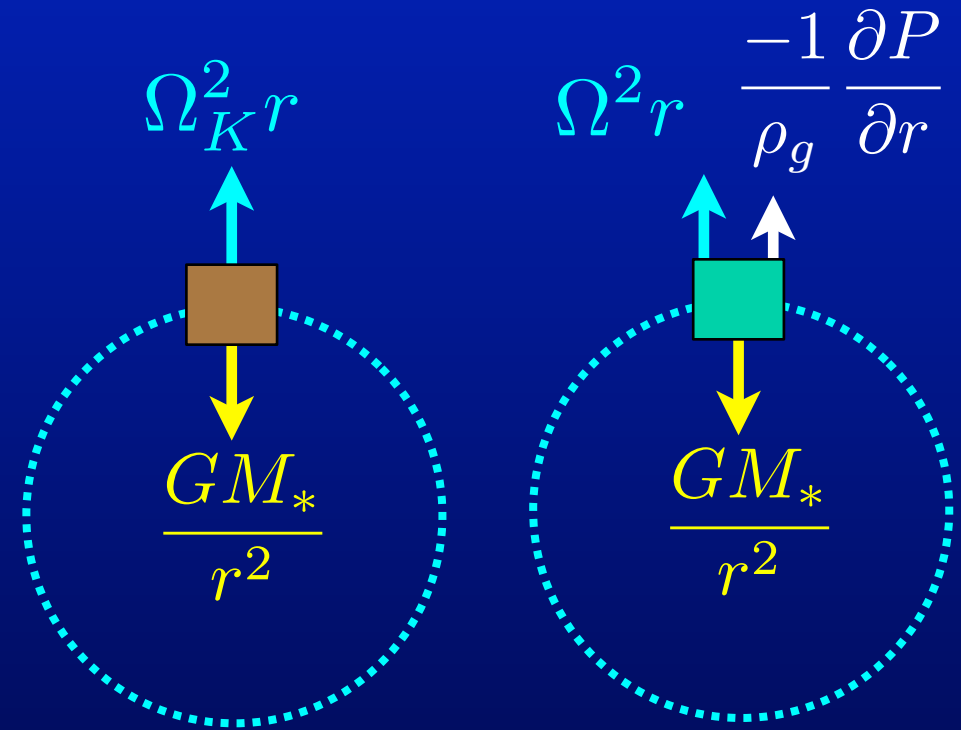
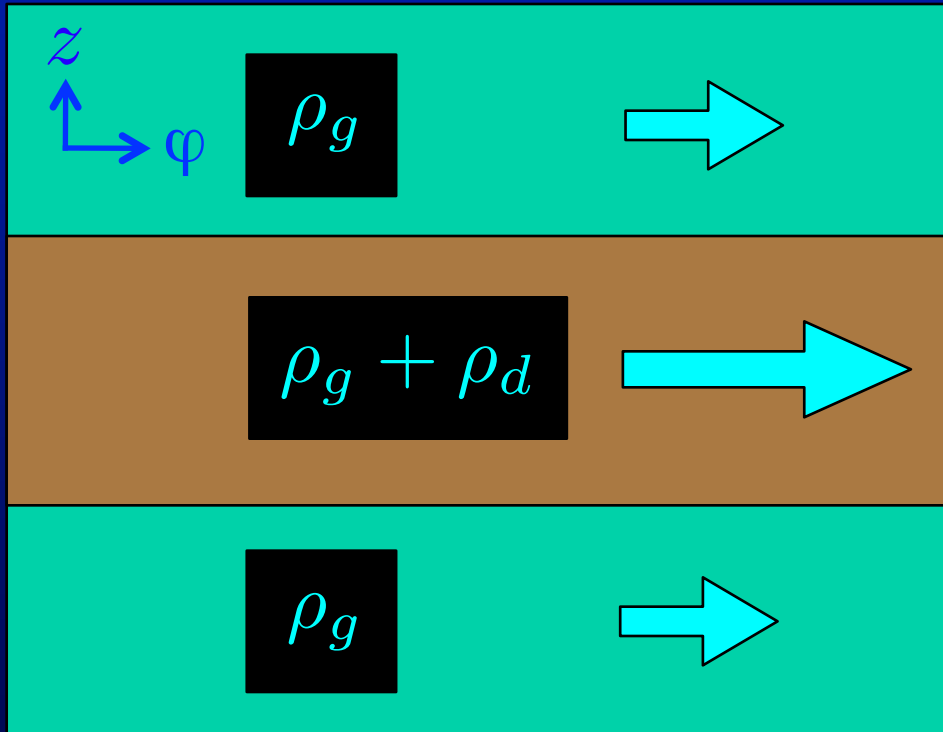
then  $\rho \sim \frac{\Sigma_g}{h_g} + \frac{\Sigma_d}{h_d}$

$$\sim 3 \times 10^{-9} \text{ g cm}^{-3} \quad \text{if } h_d \sim h_g$$

$$\sim 10^{-7} \text{ g cm}^{-3} \quad \text{if } h_d \sim 5 \times 10^{-4} h_g$$

Can the settled “sublayer” achieve  
Toomre density  $\langle \rho_d / \rho_g \rangle \sim 30$ ?

# Kelvin-Helmholtz instability may limit dust settling



$$\therefore \Omega < \Omega_K$$

$$\Delta v \sim c_s \frac{c_s}{v_K} \sim 25 \text{ m/s} \text{ nearly independent of } r$$



Necessary criterion for K-H instability in Cartesian shear flow:

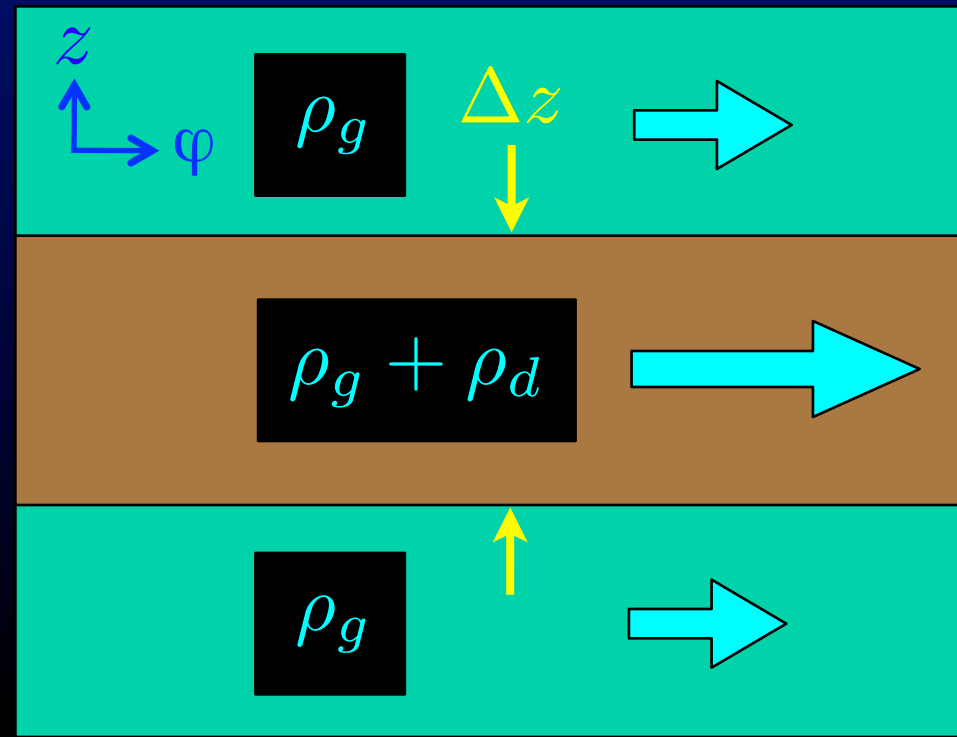
$$\text{Richardson } Ri \equiv \frac{g \partial \ln \rho / \partial z}{(\partial v / \partial z)^2} < Ri_{\text{crit}} = \frac{1}{4}$$

$$= \frac{\omega_{\text{Brunt}}^2}{(\partial v / \partial z)^2}$$

If  $Ri = 1/4$ ,

$$\text{then } \Delta z \sim \frac{\Delta v}{\Omega} \sim 10^{-2} h_g$$

$$\rho_d / \rho_g \sim 1$$



For small particles well coupled to gas ( $\tau_s \ll 1$ ):

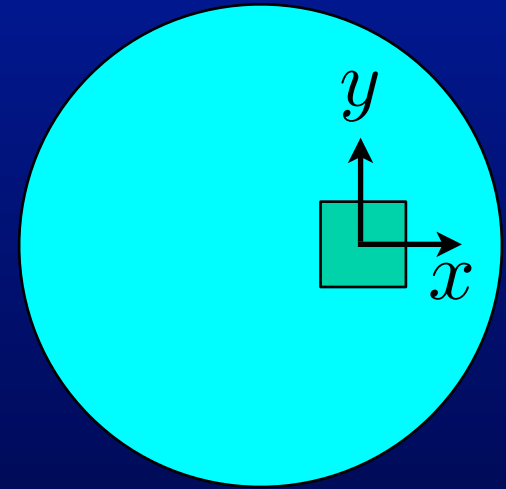
1. Is the Richardson criterion a good predictor of stability?
  - Doesn't formally apply because flow is 3D and rotational
  - Brunt vs. vertical shear vs. Coriolis vs. Kepler shear
  - Coriolis is destabilizing
  - Kepler shear is stabilizing
2. How does maximum dust density  $\rho_d$  depend on bulk (height-integrated) metallicity  $\Sigma_d/\Sigma_g$ ?
  - Disk metallicity may be supersolar
  - Host stars of extrasolar planets tend to be metal-rich
  - Planets themselves are metal-rich

# Well-coupled gas and dust in a shearing box

$$\frac{\partial v_x}{\partial t} + \mathbf{v} \cdot \nabla v_x = \frac{-1}{\rho + \rho_d} \frac{\partial P}{\partial x} + 2\Omega_0 v_y + 2q\Omega_0^2 x - \frac{\left(\frac{\partial P}{\partial x}\right)_0}{\rho + \rho_d}$$

$$\frac{\partial v_y}{\partial t} + \mathbf{v} \cdot \nabla v_y = \frac{-1}{\rho + \rho_d} \frac{\partial P}{\partial y} - 2\Omega_0 v_x$$

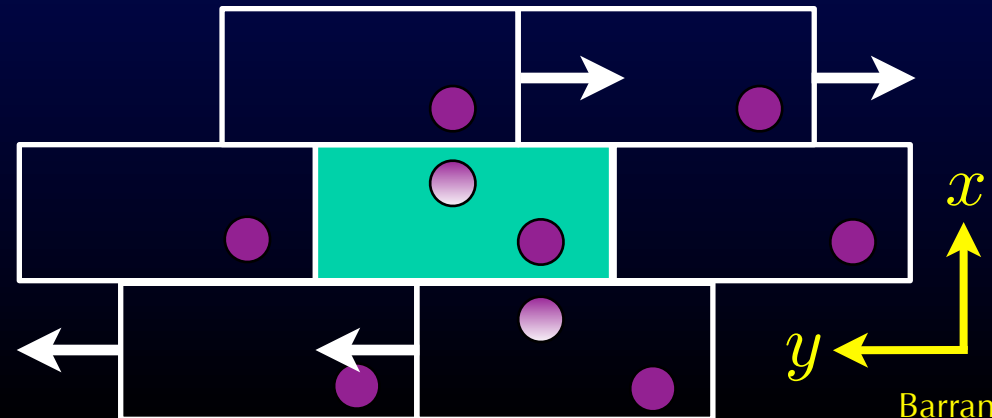
$$\frac{\partial v_z}{\partial t} + \mathbf{v} \cdot \nabla v_z = \frac{-1}{\rho + \rho_d} \frac{\partial P}{\partial z} - \Omega_0^2 z$$



~~$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho_0 \mathbf{v}) = 0$~~  anelastic  $\frac{\partial \rho_d}{\partial t} + \nabla \cdot (\rho_d \mathbf{v}) = 0$

$$\frac{\partial \varepsilon}{\partial t} + \mathbf{v} \cdot \nabla \varepsilon = -(P + \varepsilon) \nabla \cdot \mathbf{v}$$

$$P = (\gamma - 1)\varepsilon$$



## Code limitations (so far):

### 1. No self-gravity

- Use Toomre density as guide for onset of gravitational instability

### 2. Dust and gas are perfectly coupled

- Restricted to studying stability of given initial conditions

# Numerical simulations of dense midplanes

Initial conditions:  
Spatially constant Ri

$$\mu(z) \equiv \frac{\rho_d}{\rho_g}(z)$$

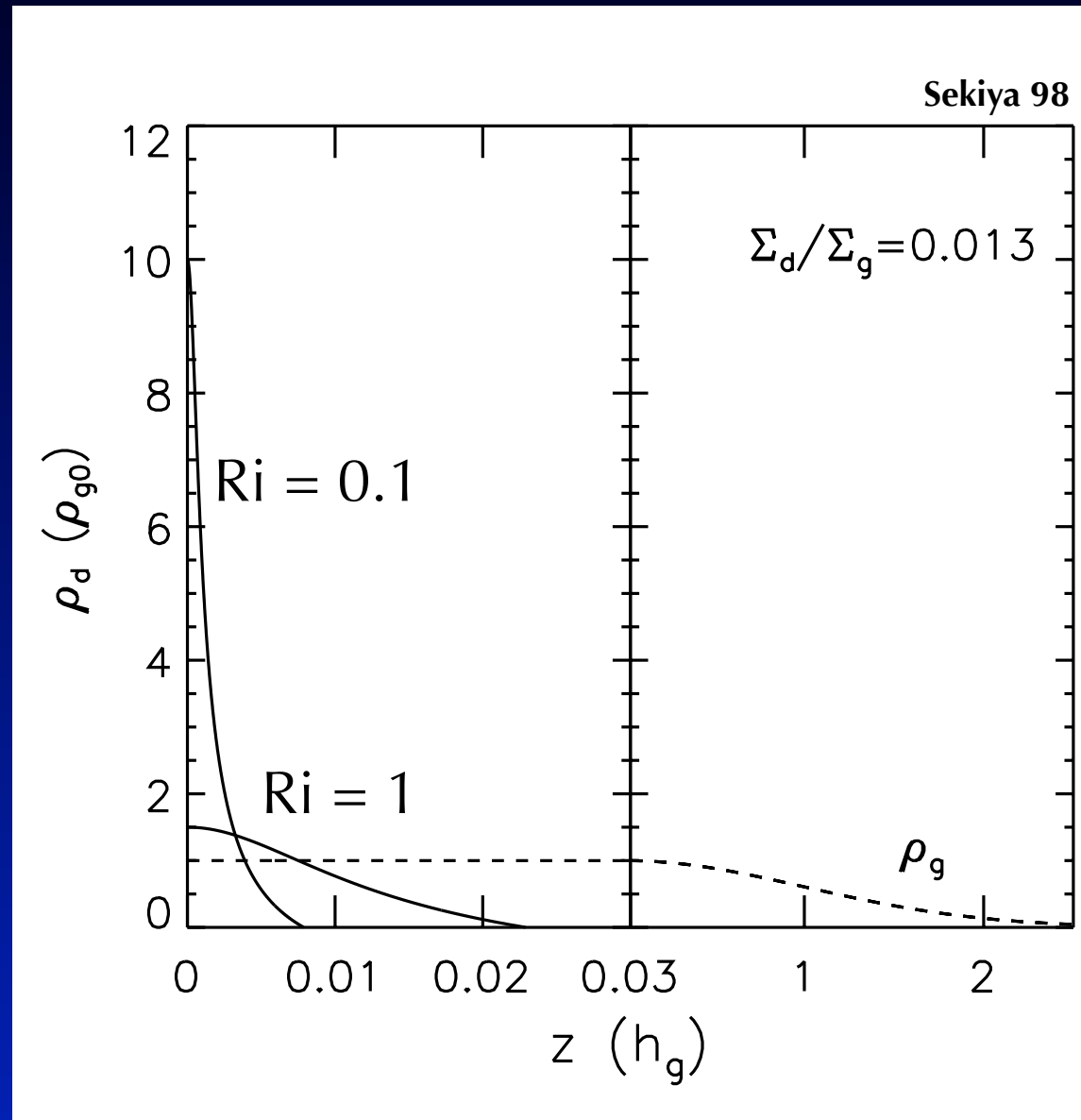
$$= \left[ \frac{1}{1/(1 + \mu_0)^2 + (z/z_d)^2} \right]^{1/2} - 1$$

where  $z_d = \frac{Ri^{1/2} \Delta v}{\Omega}$

Input parameters:

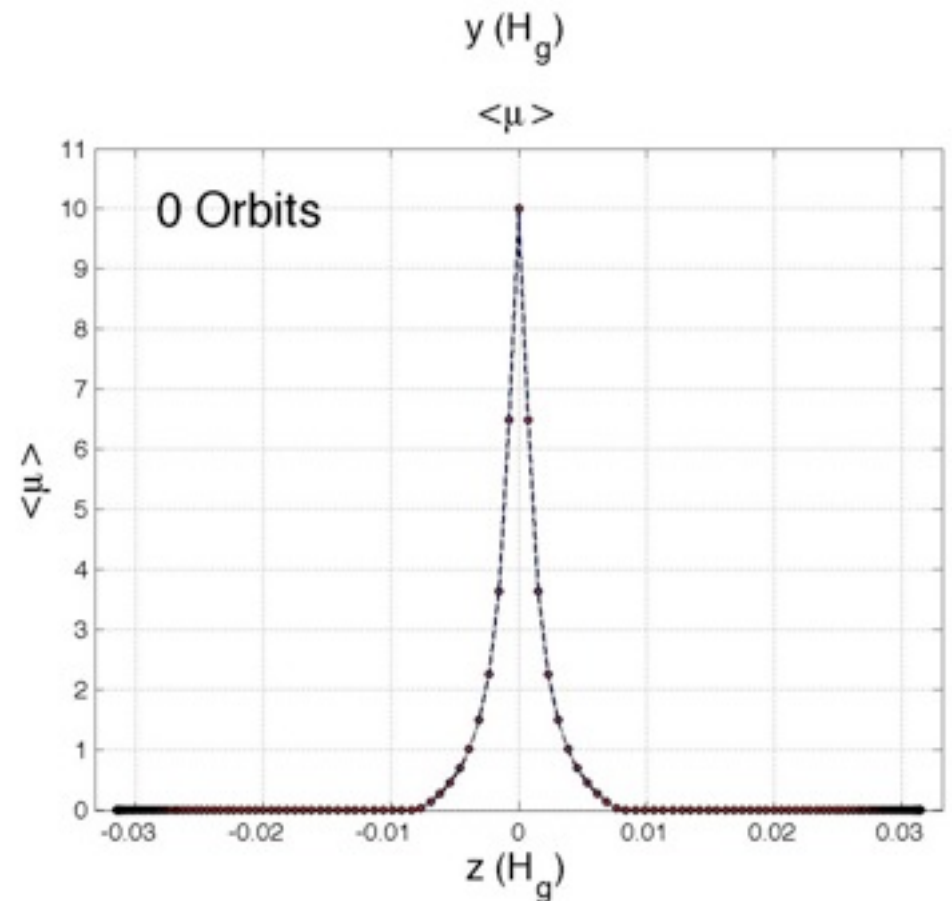
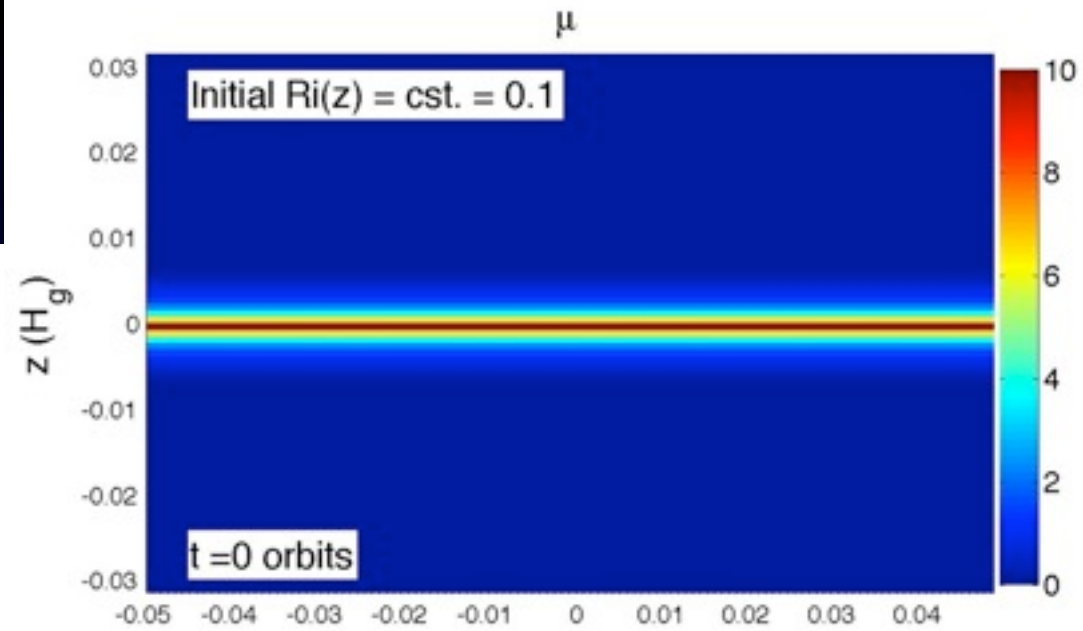
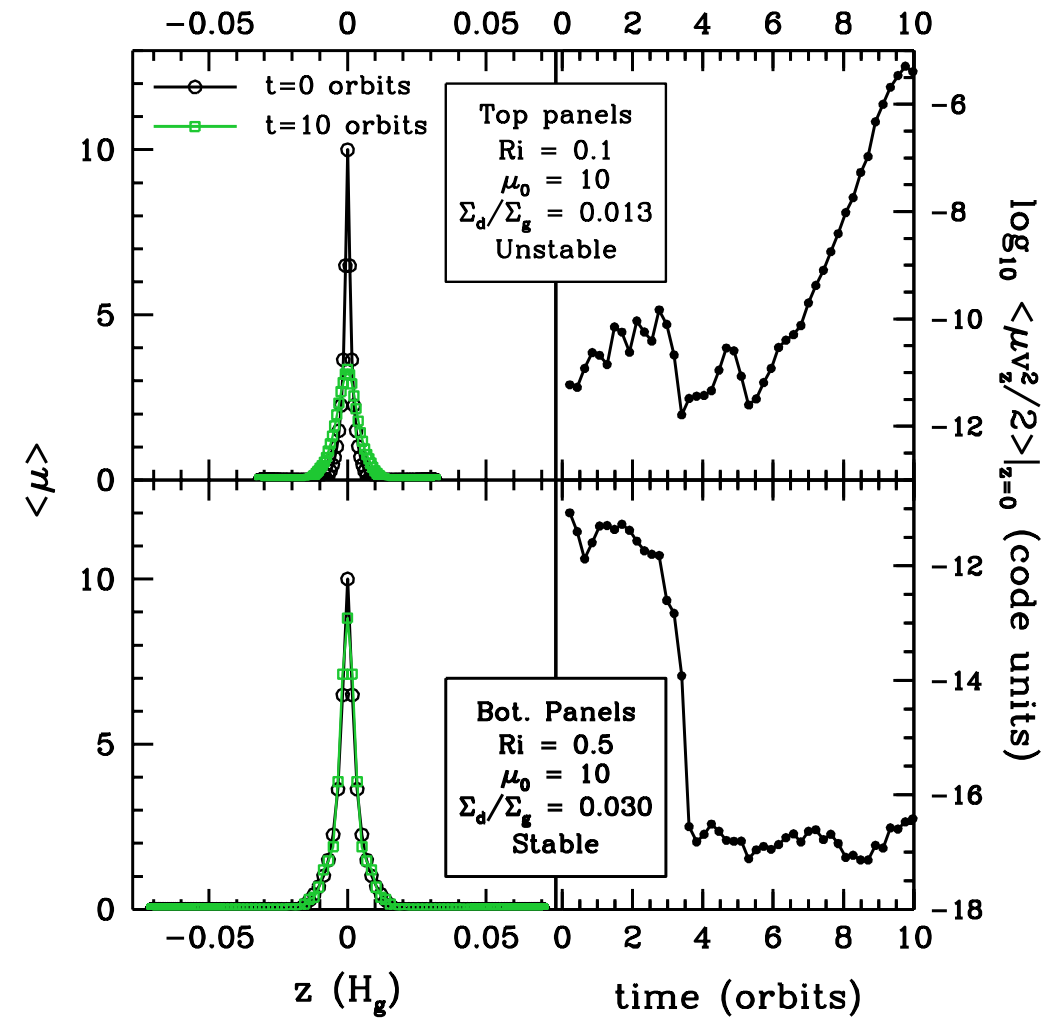
$(Ri, \mu_0)$

$(Ri, \Sigma_d/\Sigma_g) \longleftrightarrow (\mu_0, \Sigma_d/\Sigma_g)$

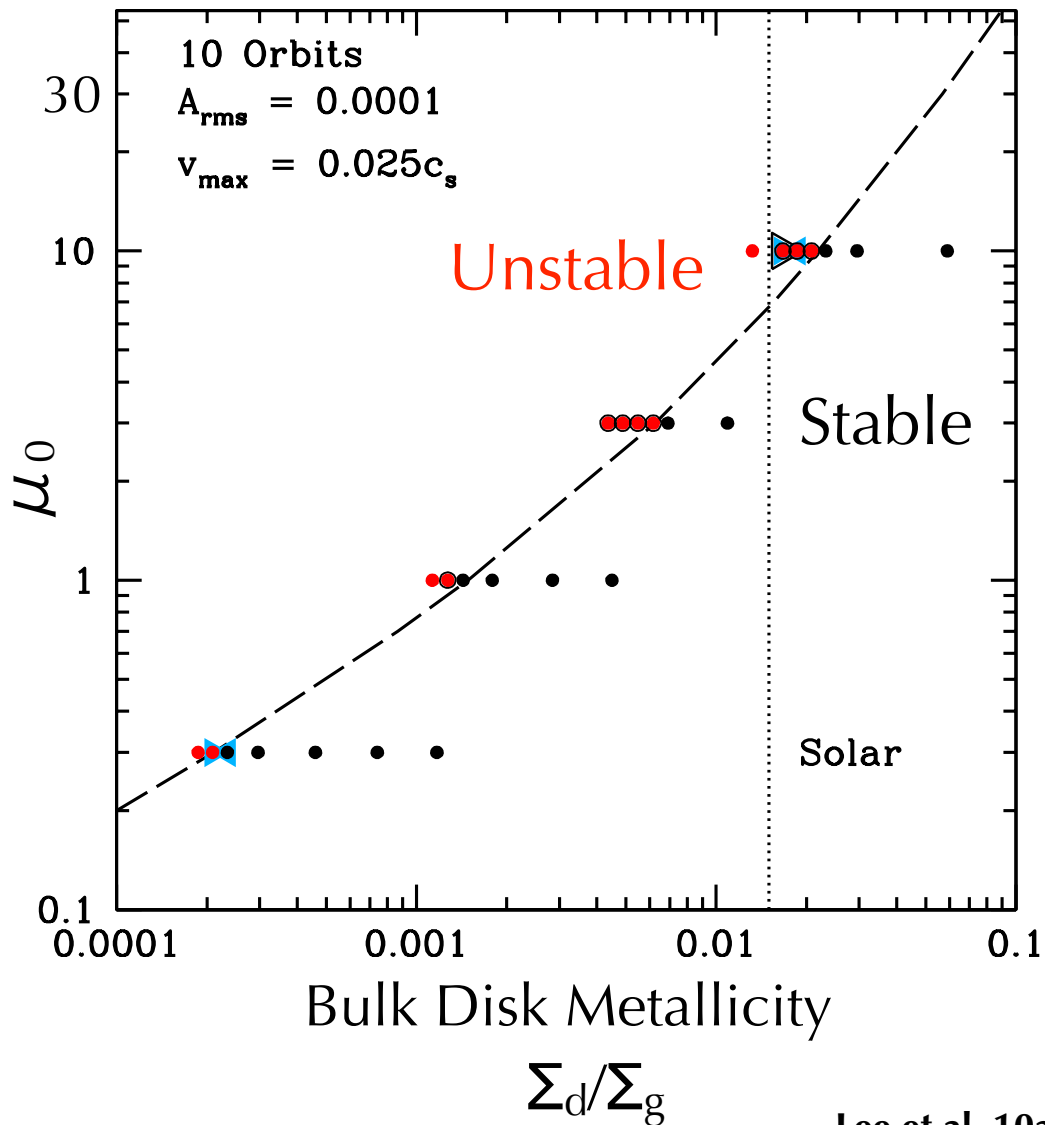
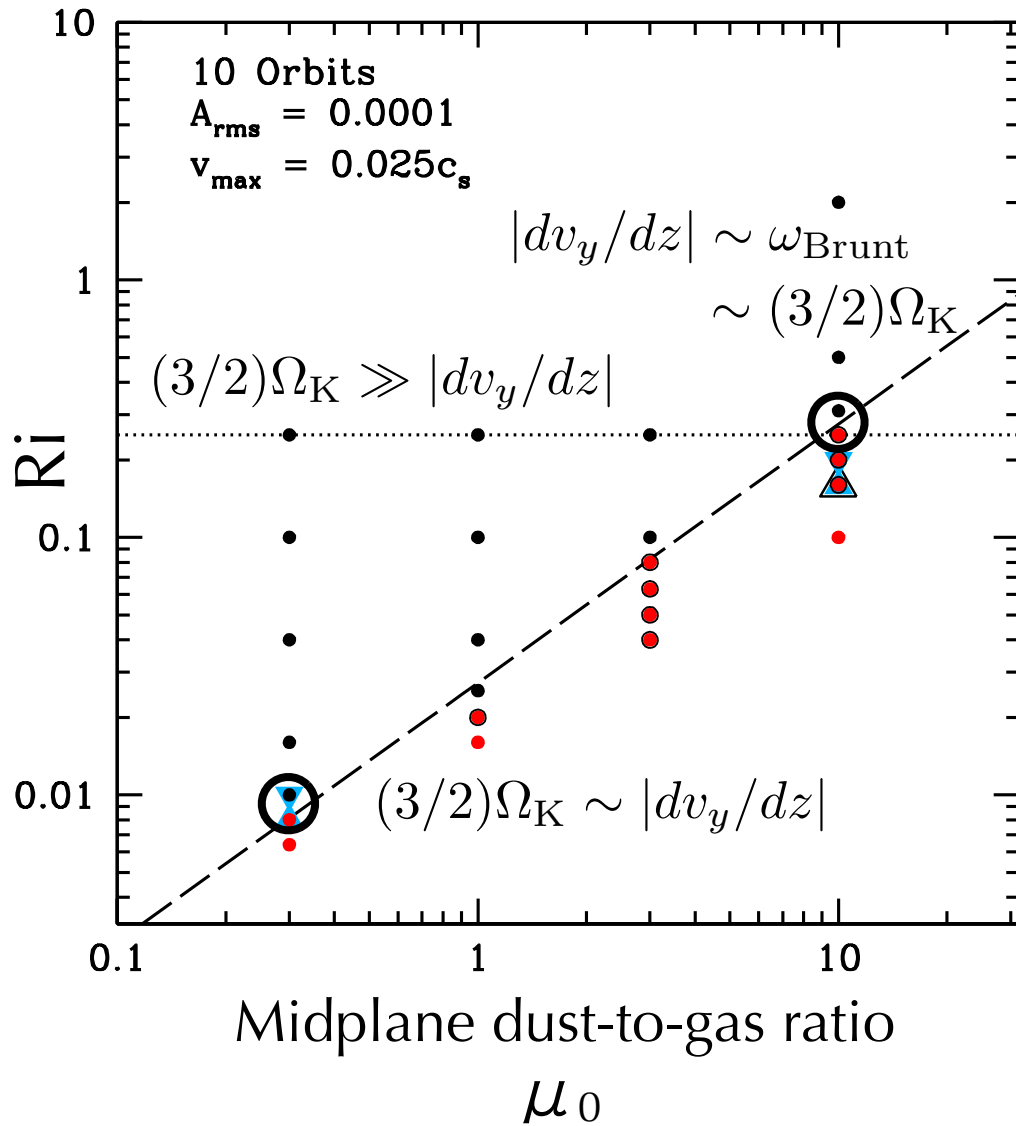




# Numerical simulations of dense midplanes



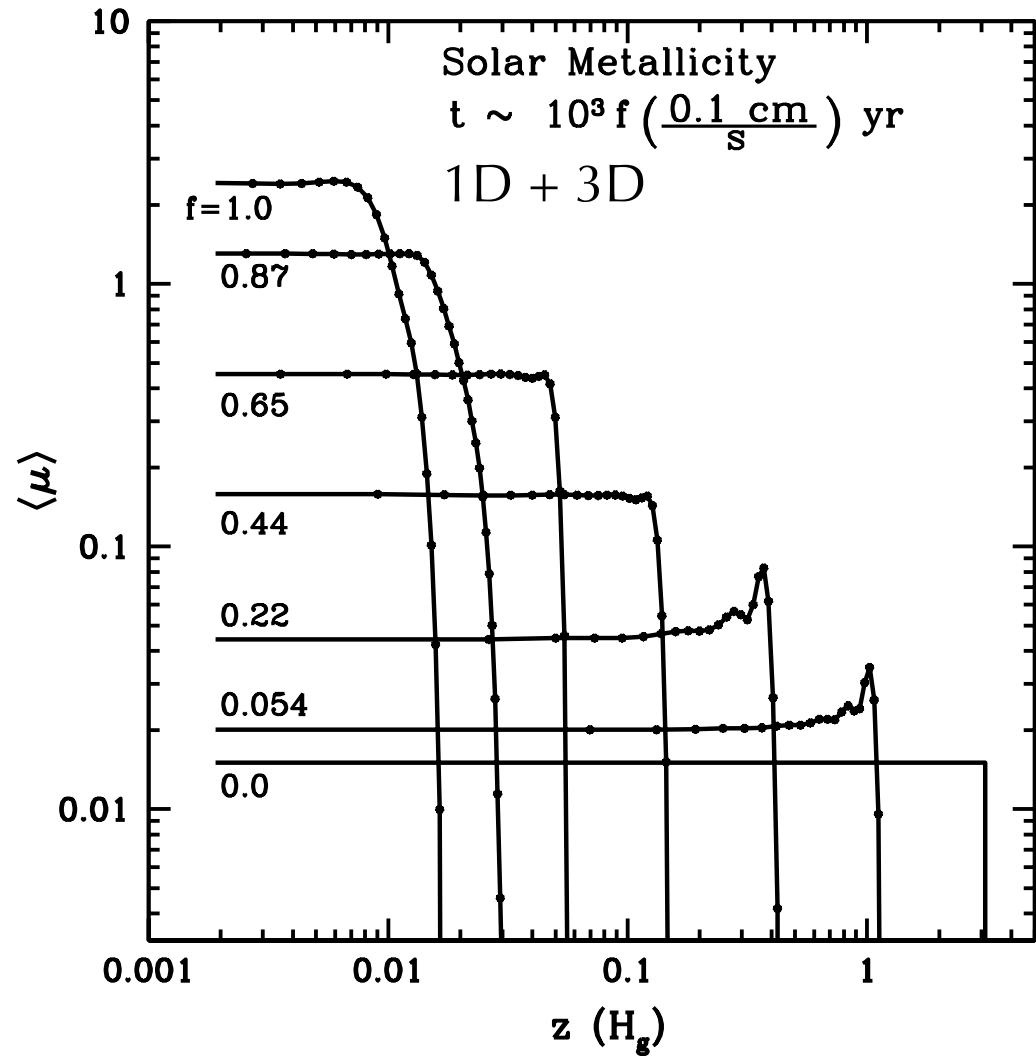
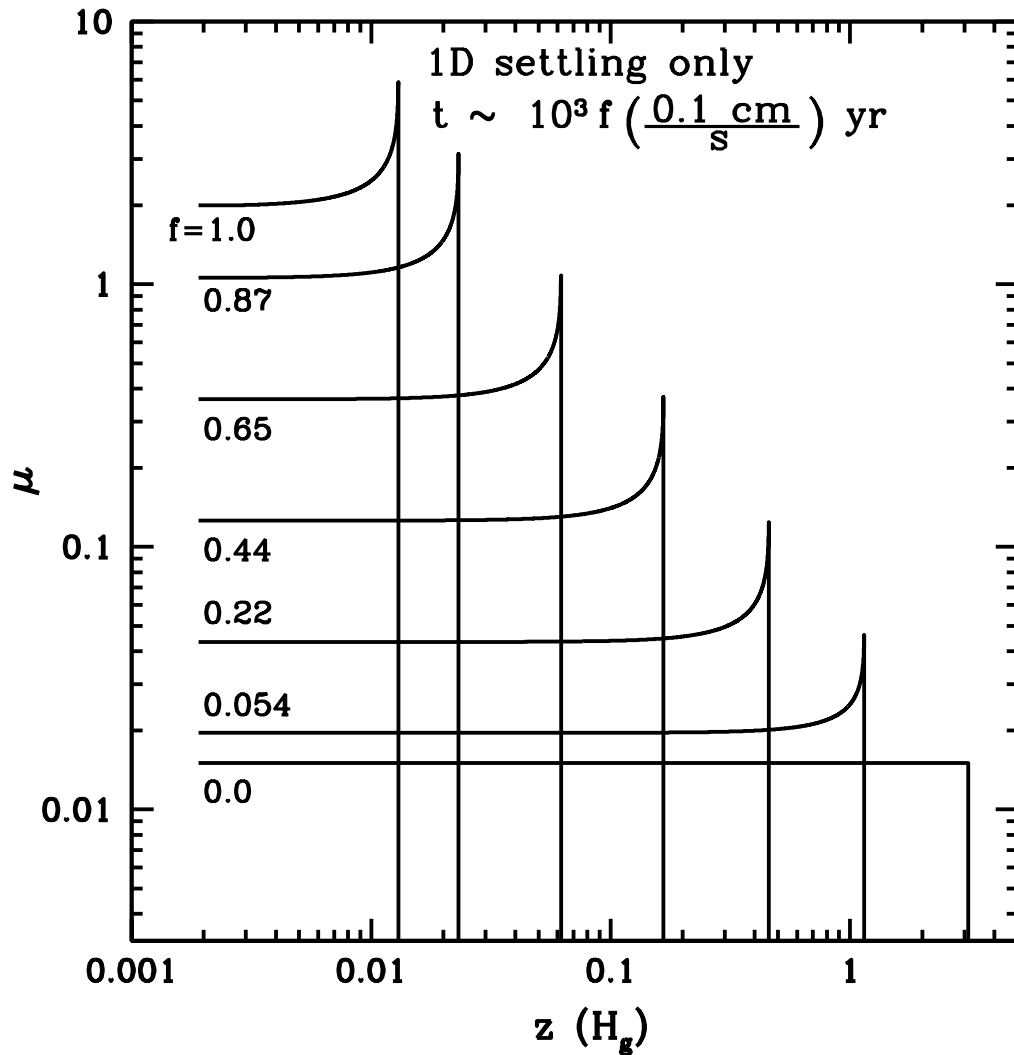
# Gravitational Instability by Metal Enrichment



Lee et al. 10a

Toomre-like densities possible for  $\sim 4x$  bulk solar metallicity,  
 or  $\sim 4x$  more mass than minimum-mass solar nebula

# Relaxing constant Ri: Settling from arbitrary initial conditions



Lee et al. 10b

Finding the marginally stable state

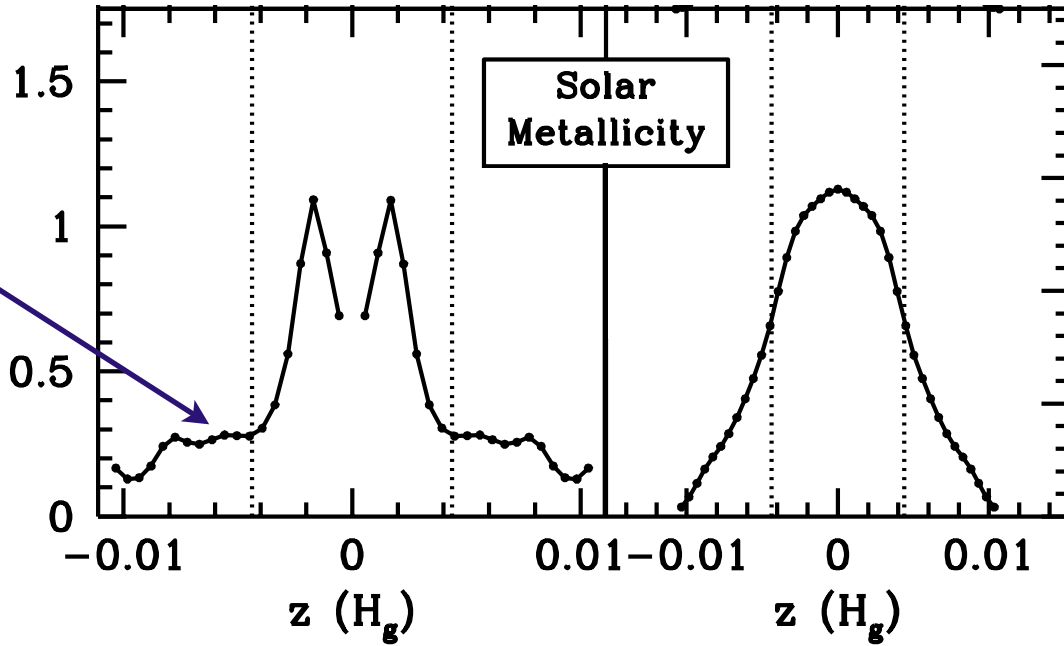
# Marginally stable states

Evidence for constant Ri

Superlinear relation between midplane density and bulk metallicity

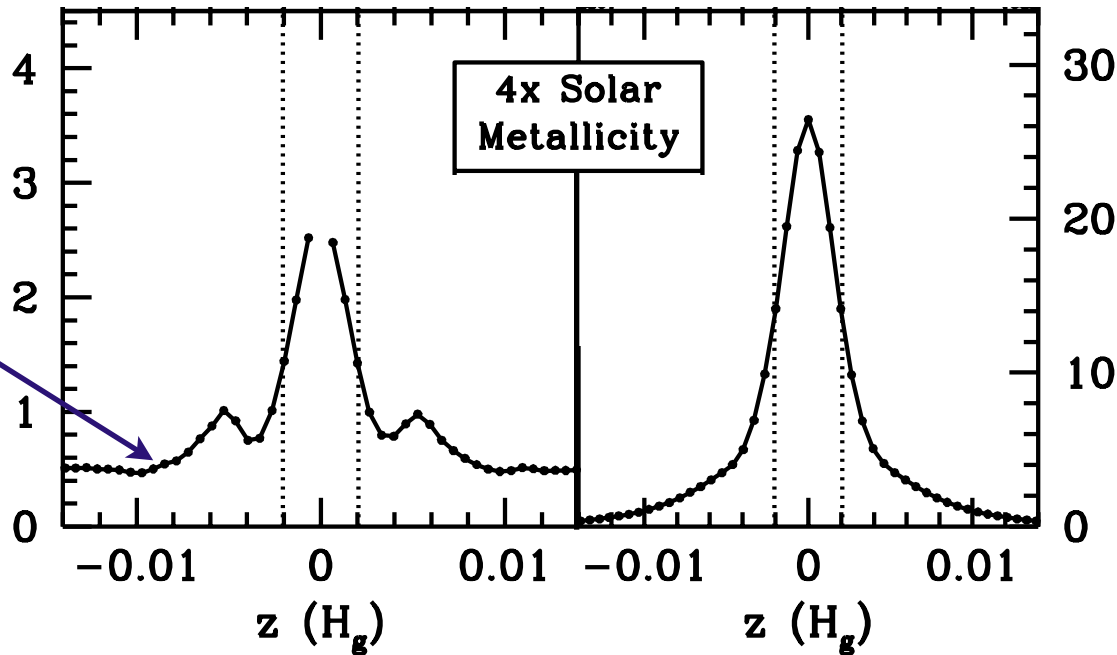
$\langle Ri \rangle_{crit} \approx 0.25$

$\langle Ri \rangle$



$\langle \mu \rangle$

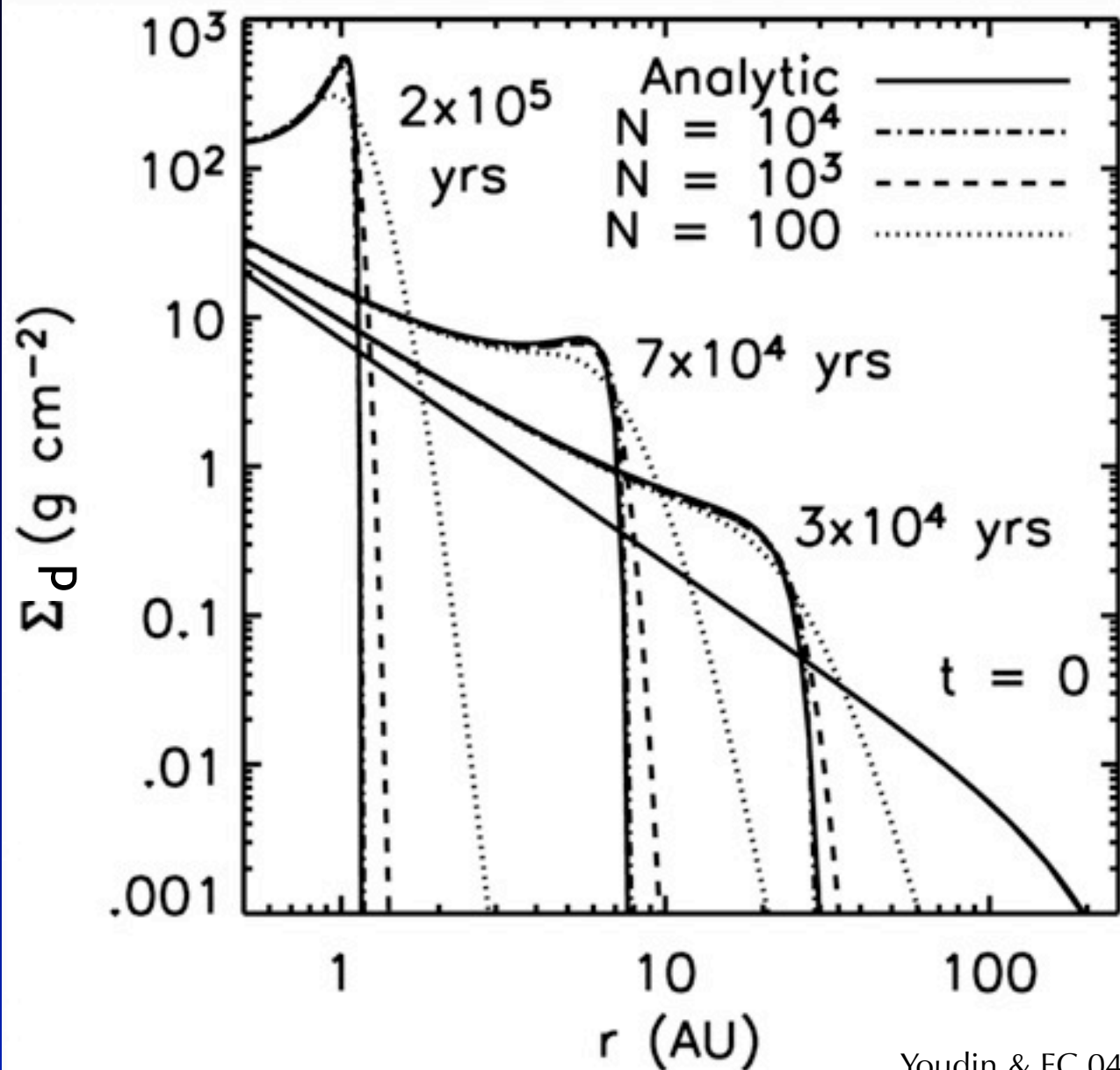
$\langle Ri \rangle_{crit} \approx 0.5$



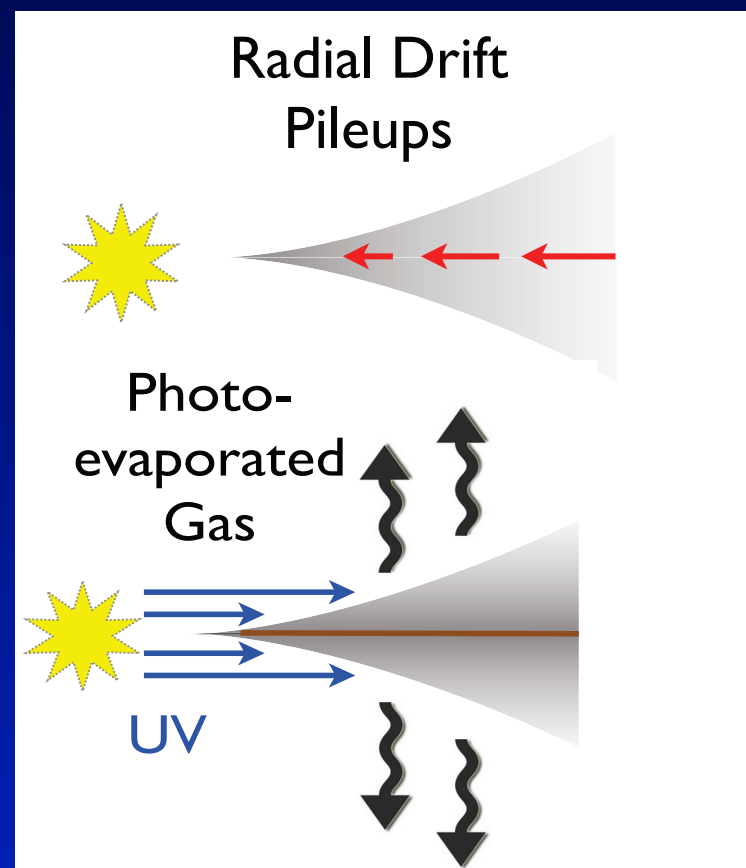
$\langle \mu_0 \rangle \propto (\Sigma_d / \Sigma_g)^{>1}$

# Local enrichments of metallicity

## Radial pileups



Youdin & EC 04



# Modeled metallicities

Guillot et al. 06

Name	$M_{\text{planet}}$ ( $M_{\oplus}$ )	$M_Z^a$ ( $M_{\oplus}$ )	$Z_{\text{planet}}$ ( $M_Z/M_{\text{planet}}$ )	$Z_{\text{planet}}/Z_{\odot}^b$	$[\text{Fe}/\text{H}]_*$	$Z_{\text{planet}}/Z_*$
HD209458	210	20	0.095	6.35	0.02	6.06
OGLE-TR-56	394	120	0.304	20.3	0.25	11.418
OGLE-TR-113	429	70	0.163	10.9	0.15	7.7
OGLE-TR-132	350	105	0.3	20	0.37	8.531
OGLE-TR-111	168	50	0.297	19.84	0.19	12.81
OGLE-TR-10	200	10	0.05	3.33	0.28	1.75
TrES-1	238	50	0.21	14.0	0.06	12.2
HD149026	114	80	0.70	46.78	0.36	20.42
HD189733	365	30	0.082	5.479	-0.03	5.87
Jupiter	318	10–42	0.03–0.13	2.0–8.8	0	2.0–8.8
Saturn	95.2	15–30	0.16–0.32	11–21	0	11–21

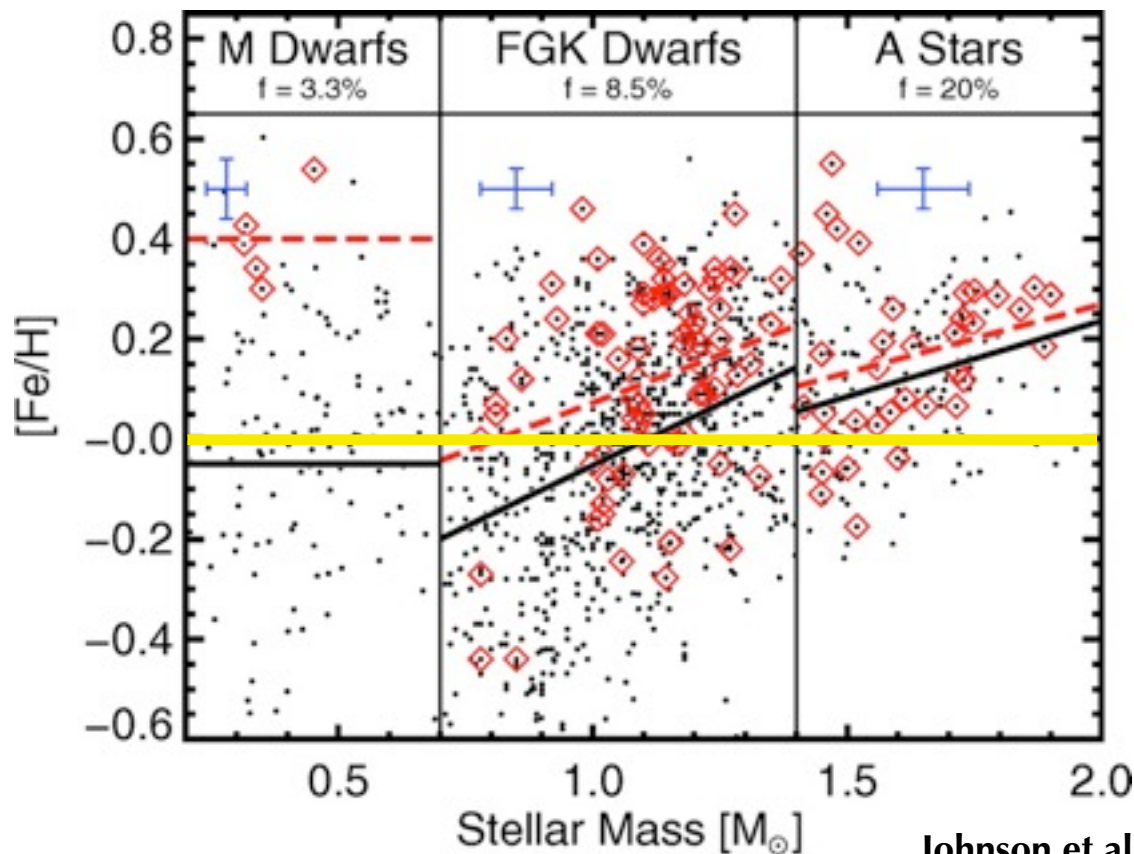
Toomre density requires:

Super-solar bulk metallicities

( $< 4 \times$ ;  $\Sigma_d/\Sigma_g = 0.05$ )

and/or

Disk masses  $>$   
minimum-mass nebula ( $< 4 \times$ )



Johnson et al. 10

# Planetesimal Formation

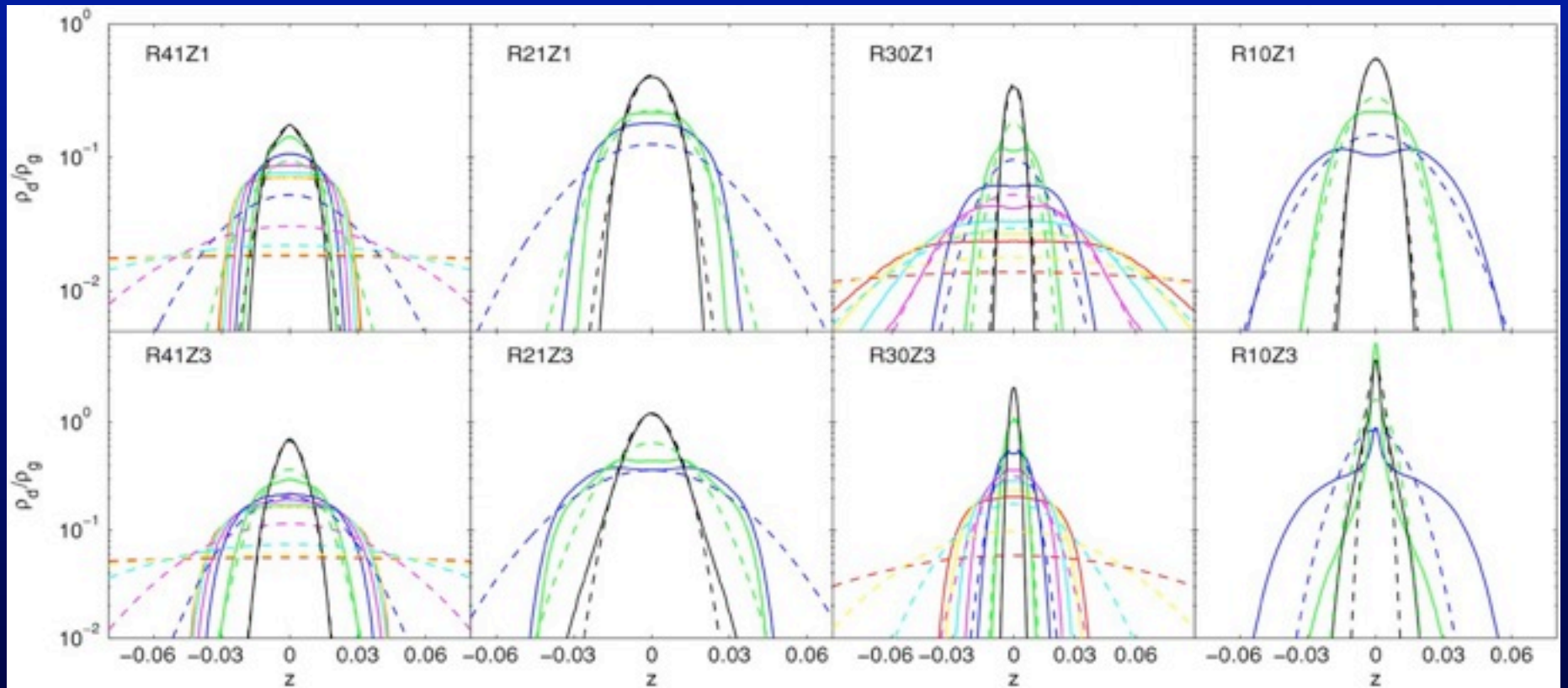
EC (Berkeley)  
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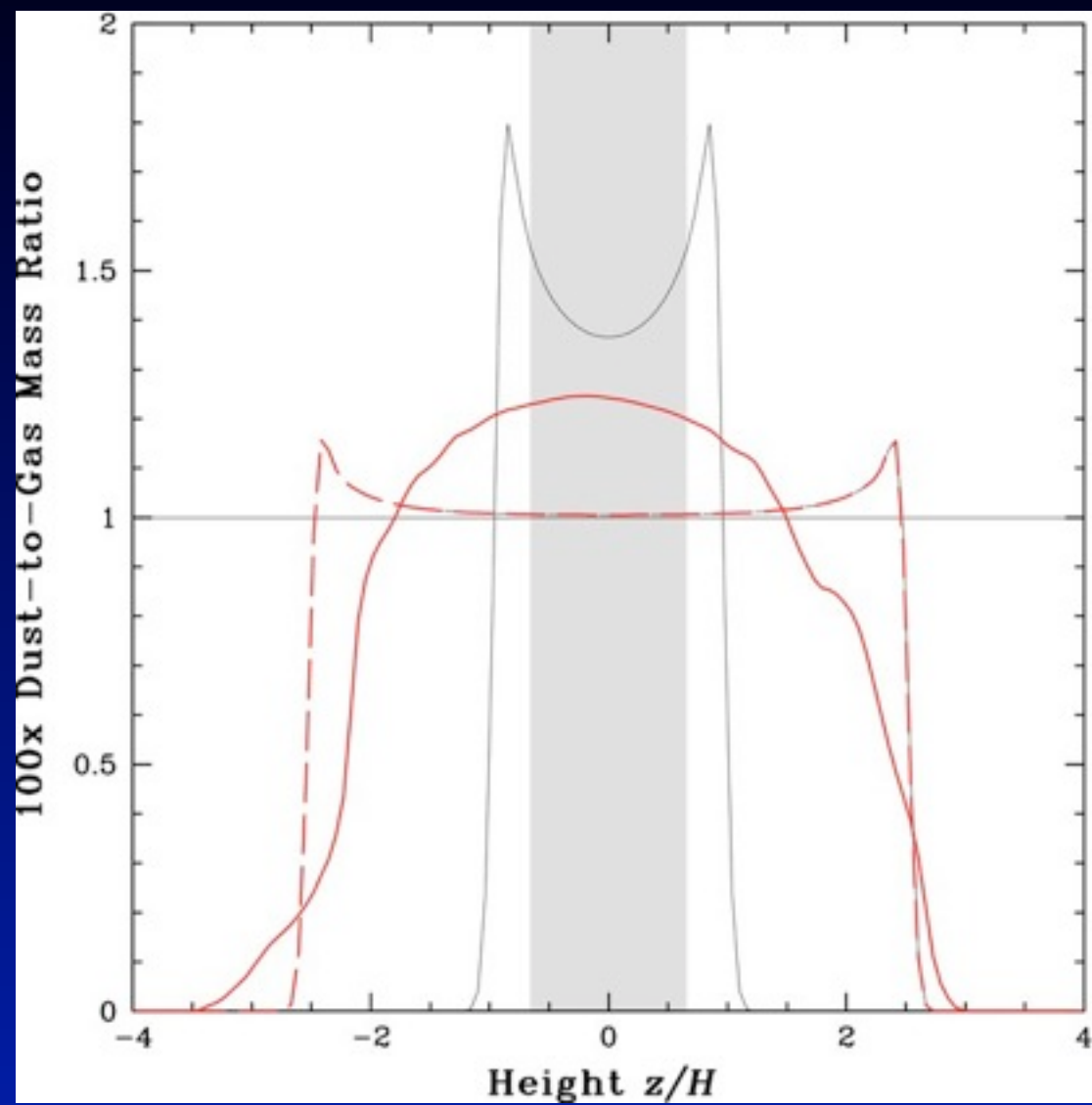
Can dust in disk midplanes become self-gravitating?

Yes, if local bulk metallicity is a few times solar,  
or if local surface density is a few times minimum-mass solar nebula

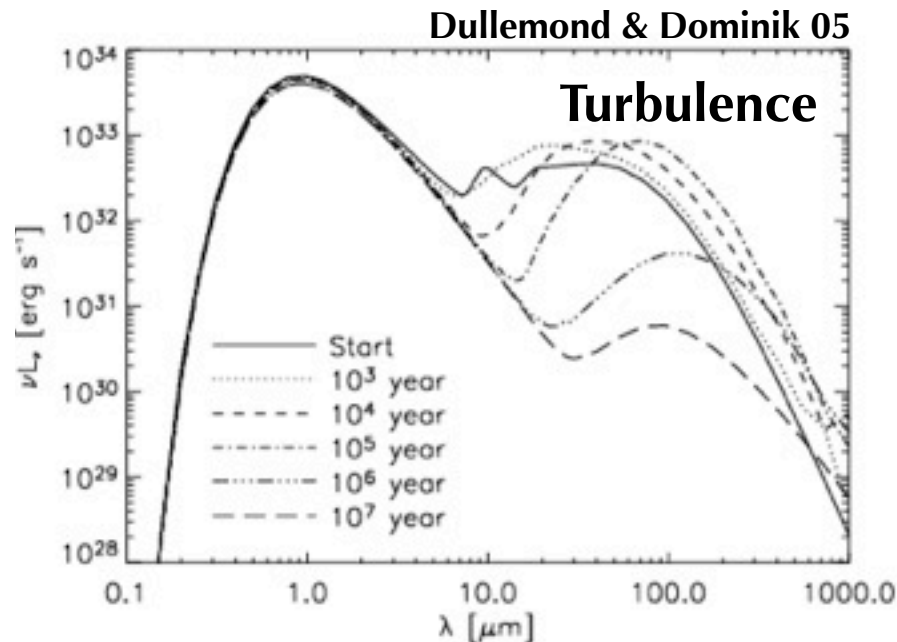
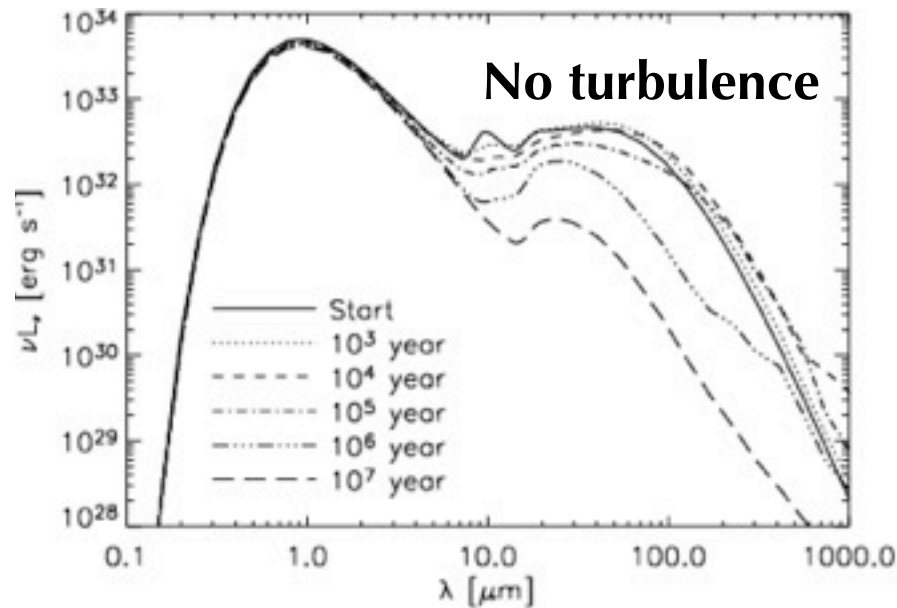
Extra Slides







# Theory I: Grain growth: Right sign, wrong magnitude



Sticks too well

Problem persists even if

- grains are fractal
- monomers are nonspherical

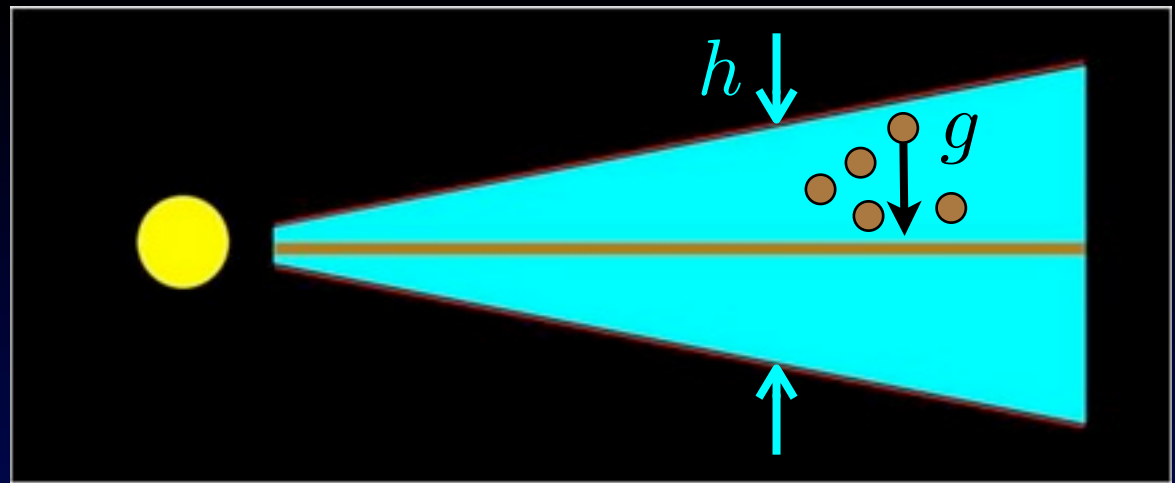
Proposed solution:

Replenishment of micron-sized grains (near-IR opacity) by fragmentation

# Grain growth

$$mg \sim F_{\text{drag}}(v)$$

$$\mu s^3 \Omega^2 h \sim \rho_g s^2 c_s v$$



$$\longrightarrow \text{Terminal } v \sim \frac{\mu}{\rho_g} \Omega s \quad (\text{bigger is faster})$$

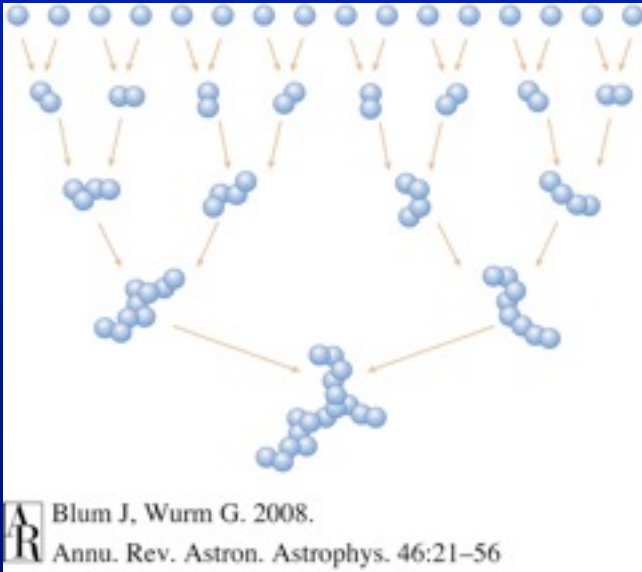
$$\text{Accretion } \frac{d}{dt}(\mu s^3) \sim \rho_d v s^2 \longrightarrow \dot{s} \sim \frac{\rho_d}{\mu} v \quad (\text{faster is bigger})$$

$$\longrightarrow \text{Exponential growth } s \sim s_0 \exp(\rho_d \Omega t / \rho_g) \quad (\text{fastest growth in inner disk})$$

$$\text{Since } t \sim h/v \longrightarrow s \sim s_0 \exp(\Sigma_d / \mu s)$$

$$\left. \begin{array}{l} s_0 \sim 1 \mu\text{m} \\ \mu \sim 1 \text{ g cm}^{-3} \\ \Sigma_d \sim 10 \text{ g cm}^{-2} \end{array} \right\} \begin{array}{l} s \sim 1 \text{ cm} \\ t \sim 100 \text{ yr} \\ v \sim 1 \text{ m/s} \end{array}$$

# Grain growth



$$v_{\text{crit}} \sim 1 \text{ m/s for } s \sim 1 \mu\text{m}$$

Repulsion (elastic modulus  $E$ )

$$\text{Stress } \sigma \sim E \nabla \xi \sim E \frac{\delta}{a}$$

$$\sigma \sim \frac{mv}{(\delta/v) \times a^2}$$

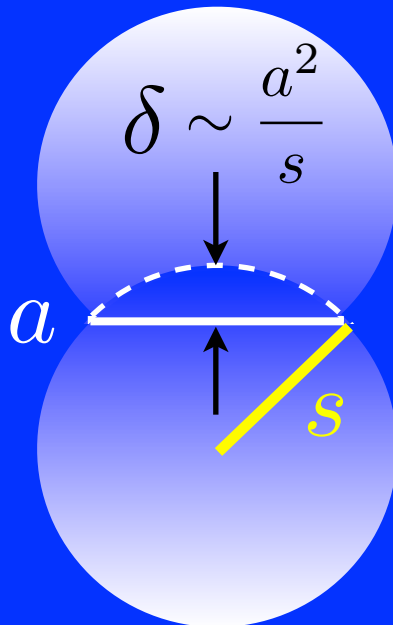
$$\text{Repulsive force } F_R \sim \sigma a^2 \sim \mu^{3/5} E^{2/5} s^2 v^{6/5}$$

$$\text{Repulsive energy } U_R \sim F_R \delta$$

Adhesion (surface tension  $\gamma$ )

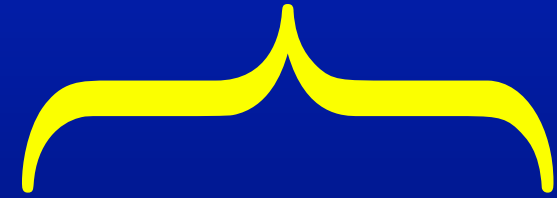
$$\text{Binding energy } U_B \sim \gamma a^2$$

$$U_R = U_B \longrightarrow v_{\text{crit}} \sim 4 \frac{\gamma^{5/6}}{E^{1/3} \mu^{1/2} s^{5/6}}$$



# Rotational Effects

Ri



Coriolis

Kepler  
radial shear

Brunt  
oscillation

Vertical  
shear

$$2\Omega$$

$$\frac{3}{2}\Omega$$

$$< \Omega$$

$$< \Omega$$

destabilizing

stabilizing

stabilizing

destabilizing

Cabot 84

Ishitsu & Sekiya 03

EC 08

Gomez & Ostriker 05

Barranco 09